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Final Report of the Advisory Task Force on Tuition Retention

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Introduction

Massachusetts is an anomaly in higher education because of the way it charges students to attend the University. In Massachusetts, the mandatory curriculum fees, rather than tuition, make up the bulk of the cost of attending the University of Massachusetts. Tuition, which has not increased in more than two decades, is set by the Massachusetts Board of Higher Education and is generally remitted to the State's general fund as a user fee.

In fiscal year 2015, the University remitted approximately \$31 million in tuition collected from in-state students. Fees, which account for the majority of student charges are set by the UMass Board of Trustees and are retained by the University to fund its operations. The fees have fluctuated over the years as the levels of state support provided in the State budget have changed. Over time, this has led to a high-fee, low-tuition billing model that is antiquated and confusing.

In July of 2015, the Legislature passed and the Governor signed into law provisions in the fiscal year 2016 State budget that would give the University the tools to adopt a new system of billing that conforms to national norms across higher ed. The ability for the state to retain tuition, also referred to as Tuition Retention, is the main provision of the law that will allow the University of Massachusetts to rationalize and restructure its tuition and fees in a way that is transparent and consistent with peer institutions across the nation.

Tuition retention was first suggested 24 years ago by the Saxon Commission, whose recommendations led to the formation of the UMass System as it exists today. The recommendation was advanced numerous times since, including in a 2004 report by the Massachusetts Taxpayers Foundation and in a more recent Higher Education Finance Commission report that was released in October of 2014.

The Higher Education Finance Commission recognized that restructuring tuition and fees is necessary if all community colleges, state universities and the UMass campuses are to increase accountability and transparency regarding the true cost of higher education in Massachusetts. The Commission recommended that full tuition retention be implemented at UMass by fiscal year 2117, or sooner and it strongly endorsed it for the state universities and community colleges to follow.

Tuition Retention is an important step toward making UMass more transparent to its students and their families by allowing them to see student charges in a way that alleviates much of the confusion that currently exists between tuition and mandatory fees. It is also in line with efforts nationally to make higher education more transparent and is consistent with the Administration's and the Legislature's calls for increased transparency. Finally, it allows the University to join the other public universities across the United States that are allowed to retain tuition.

The Tuition Retention Legislation

In fiscal year 2012, the Legislature expanded the University's authority to retain non-resident tuition to all five of its campuses. Prior to the change only the flagship campus at Amherst had the authority to retain non-resident tuition. In total, this allowed the campuses to retain \$39.6 million of tuition. However, tuition collected from in-state students continued to be remitted to the Commonwealth's General Fund.

The fiscal year 2016 budget included language granting the University the authority to retain in-state tuition. As passed by the Legislature the change results in no additional cost to the Commonwealth's budget or the University's budget. The State appropriation to the University would be reduced in fiscal year 2017 to account for the additional revenue being retained by the University (estimated to be between \$29 and \$31 million). In addition, any new or expanded tuition waivers will need to be paid for by the State.

The legislation included several other key provisions:

1. The University would establish an easy to understand student bill whereby tuition would comprise the majority of the total cost of student charges and allow the campuses to be competitive with their peer institutions.
2. Tuition waivers or credits would be cost neutral to the campuses and the goal is to make them more transparent to the student and their family.
3. The University would continue to receive fringe support for any employee funded using retained tuition, which is how the out-of-state tuition retention model was implemented. This is necessary for the University to continue to receive the fringe associated with employees previously paid with direct state appropriation.
4. The proposed language would give the UMass Board the authority to set student charges (what was formerly tuition and mandatory fees).

Benefits of the new legislation

Under the tuition retention plan, the University of Massachusetts would provide a "simple" bill of student charges that more accurately reflects the cost of education and separates out tuition and the cost of core education services from fees paid on other services such as housing, food, health care, and other specific programs and services for which fees are based on. The bill will also show the discount that in-state students receive through the State's support for public higher education.

The Task Force

In an effort to ensure greater transparency and help with the implementation of the new tuition retention law, President Marty Meehan established an Advisory Task Force on Tuition Retention whose membership is in Appendix A. In a letter to Secretary James Peyser dated July 16th, the President detailed the charge of the Task Force, which is consistent with language already included in section 195 of the State budget for fiscal year 2016 and will focus on all the relevant matters related to the implementation of tuition retention (See Appendix F). Specifically, the Task Force was charged with reviewing the following information which the University compiled:

1. An inventory of all tuition waivers and their value over the last five years by campus. The list also detailed which waivers are statutory, BHE approved, UMass approved and Commonwealth funded.
2. A list of all collectively bargained waivers by campus and their value over the last three years.
3. Recommendations for updating and establishing new policies and procedures for how changes in waivers will be managed going forward.
4. A list of all required reports produced by the University.
5. An analysis of the impact of tuition retention on the University's Fiscal Year 2017 budget.
6. An analysis on the impact of fringe benefits for both the state appropriation and on tuition retained by the University.
7. An analysis showing that the proposed budget adjustments needed to implement tuition retention are cost neutral to the Commonwealth and the University.

The Advisory Task Force was chaired by the President of the University of Massachusetts and included members from the Board of Trustees, the Amherst Chancellor, members of the Baker Administration, the Office of the Senate President and the Office of the Speaker of the House. Although the President's letter suggested a November 1st reporting date, it was agreed at the second Task Force meeting that it would take additional time to collect the amount of needed to complete the analysis called for above. It was agreed that the report would be filed at a time when there was some certainty that the data compiled was current and accurate.

Task Force Activities to Date

The Task Force met on two occasions at the UMass President's Office to review the data compiled by the University and the Department of Higher Education (DHE). In addition to the Task Force meetings, regular staff level meetings were held over the past 5 months with officials from the Administration, DHE, and the campuses to collect and discuss the data that was prepared for this report. See Appendix G for the agendas to the two Task Force meetings.

Recommendations of the Advisory Task Forces

The Task Force is advisory in nature and therefore the recommendations contained herein are subject to the approval University leadership or, in some cases the Board of Trustees. In many cases the recommendations may be required by statute. The detailed recommendations regarding fees and waivers are also subject to some changes as the Board considers these recommendations and approves changes that they feel are in the best interest of the students and their families. During its deliberations, the Task Force discussed bringing the following recommendations.

1. Revise the student bill in way that accomplishes the following objectives:
 - a. Simplified to be easier to navigate and understand charges

- b. Same format across the System
 - c. Common use of terms and definitions
 - d. Updated website for instructions on reading the student bill along with frequently asked questions and definitions of terms
 - e. Includes state subsidy for in-state students
2. As required by the statute, the fiscal year 2017 Budget request will reflect the following adjustments.
 - a. The fiscal year 2017 State Appropriation will be reduced by amount of tuition retained by the University based on the latest audited financials.
 - b. UMass will be allowed to charge fringe on any employee paid from tuition retained by the University provided that the amount available to charge salaries does not exceed the amount the University's appropriation is reduced by (estimated at \$31 million).
 3. Tuition and fees will be restructured in a way that ensures that tuition makes up the majority of student charges and eliminates the use of the mandatory curriculum fee.

Additional recommendations that have originated from the work of the Task Force but are not directly related to the implementation of tuition retention will be submitted to the UMass Board of Trustees and to the members of the Task Force under separate cover so that they can be reviewed by the Trustees and through UMass Board governance.

Inventory of Waivers:

The tuition waiver program was adopted to provide financial support to individuals and support access to public higher education institutions. The program has developed into numerous types of waivers for specific groups of individuals which can be determined by financial need, academic accomplishments, member of a specific category, etc. Waiver programs are created through statutory authority that either directly creates the waiver or provides the Board of Higher Education (BHE) with the authority to create waivers to meet certain program goals. These waivers are also governed by guidelines established by the BHE that outline eligible institutions, academic programs, and students, as well as define the waiver award value. Additionally, there is a class of waivers specific to the University which are approved and overseen by the BHE. The University is authorized to award a certain amount of waivers and determines the guidelines for eligibility.

Appendix D-1, D-2, and D-3 provide a complete inventory of the waiver programs along with a comprehensive overview of each waiver and historical data on their use. In order to more easily understand the programs, we have further divided the waivers into four categories to easily understand their origin and classify some of the differences between them. The categories are: Statutory – Appropriated, Statutory – Named, Statutory – BHE, and BHE – Campus. The first three categories denote a waiver that is specifically funded through a budget line-item, named in the General Laws, or approved by the BHE through the statutory authority to manage the waiver program. The final

category is for those waivers that are approved by the BHE but managed by the individual campuses. Additionally, we have classified waivers that are specific to UMass in order to denote those programs that are designated for UMass students and managed by the University.

The waiver inventory provides details on each program and shows the use of the waivers over the past five years. The inventory lays out the data into three parts for each year. The first is state-supported, or those with a state revenue impact, which shows the number of students receiving a waiver and the total dollar value of those waivers. The second is the non-state-supported students/programs, or those with a campus revenue impact, which shows the number of students receiving a waiver and the total dollar value of those waivers. The final section provides the total number of students receiving a waiver and the total dollar value for them. The inventory also provides a five-year average for each waiver and each section of reporting in order to illustrate trends and the impact of these waiver programs for students.

The inventory also outlines the most salient details of the waiver programs to provide a quick guide on the goals of each and the associated requirements. This overview offers a description of the waiver, the type of waiver according to the classification described earlier, the authorized maximum award, and eligibility requirements. A crucial part of this tab describes whether the guidance offered by the BHE or other sources requires updating due to the implementation of tuition retention. Many programs will require some type of update to guidance or narrative and this report highlights where we think changes are necessary.

The University continues to work with the Department of Higher Education to update language on certain waivers and to clarify to students and families what the value of their waivers will be once tuition retention is implemented. Most notably, recipients of the John and Abigail Adams Scholarship were notified early in the academic year that they are eligible for a tuition waiver at a public institution of higher education. We have adjusted the language in that letter to show the award as a credit towards tuition depending on the institution in which they choose to enroll. The work to revise BHE guidance language and other important informational items will be a significant undertaking in order to provide a consistent message on the new value of the tuition credits.

Finally, the report of waivers authorized through collective bargaining agreements. The analysis is an inventory of employee-based waivers and includes the Commonwealth (and abolished counties), DHE, UMass (generally), and UMass campus programs (including those established by policy/practice or by collective bargaining agreement). For each employee group, we have noted the benefit for employees, spouses (including domestic partners) and dependents, retirees, and deceased employees for state programs, curriculum fees, and continuing education programs. The inventory also includes links to the underlying authority document.

Generally, waivers offered through collective bargaining fall into one of three categories:

1. The Commonwealth - The Commonwealth program covers the largest group, but offers the smallest benefit: tuition only for active, full-time employees and spouses.
2. Department of Higher Education- The DHE program covers a smaller group (community college, state college/university, and UMass employees), and offers a more comprehensive program: dependents are included as well as spouses and dependents of retirees and deceased employees.
3. UMass- The UMass program covers only UMass employees, but offers the most comprehensive program: employees (as well as spouses and dependents) are covered for some curriculum fees and some campuses offer 100% of continuing education programs.

The collective bargaining agreements generally mirror or incorporate by reference one or more of the general programs, with variations generally concerning service requirements and who is eligible.

The tuition retention legislation requires that a complete inventory be filed with the Legislature on or before June 1, 2016.

How Waivers Will Change Under Tuition Retention:

The University will honor waivers currently in place at their current dollar value. Per the legislation, the University does not have to honor changes in the eligibility or the creation of new waivers unless they are funded by the State. The most significant change going forward is that waivers will be converted into tuition credits per legislation and will be applied to the overall student charges – the tuition amount charged by each campus. UMass has worked with DHE to break down the waivers into the following 3 categories for the purpose of governance and oversight:

1. The first categories of waivers are those that have been established by the DHE and apply to all three segments of higher education. These waivers will continue to be offered at the University as a defined credit towards tuition at their current value. The table below lists the various waivers that fall into this category. Some already are fully funded through a separate appropriation by the State.

National Guard	DCF Adopted Children	DCF Foster Care Child
Active Duty Armed Forces	Commission for the Blind	MA Rehabilitation Commission
Native American	Senior citizens	Veterans/Vietnam

		veterans
S.Z. Koplik Cert. of Mastery	Valedictorian	John and Abigail Adams Scholarship

2. Waivers that have been adopted by DHE, but will be administered by UMass. These programs will have guidelines consistent with DHE guidance thereby making the programs consistent with state universities and community colleges but will be administered by the University and the value of the credit waiver will be determined by the University.

Need-based	Aspiring Teachers	Career Adv. Program
Cooperative Association of States for Scholarship	Collaborative Teachers	High Tech. Scholar/Intern
Hurricane Katrina	BHE UPLAN	Graduate Student
Washington Center	Commonwealth Sept. 11, 2001	Children of Fallen Service Member
MassTransfer (Joint Admissions/Tuition Advantage Program)		

3. UMass Tuition (formerly waiver) Credit Programs. These waivers will now fall under the authority of the UMass Board. UMass will therefore have the authority to define campus and/or system based tuition waivers, identify the general requirements of these types of aid and the designated value of the tuition credit. Any new campus and/or system based tuition credits or adjustments and their requisite value require approval of the President and annual reporting on these credits.

Appendix D1 – Total and Five-year Average

The Total and Five-year Average document provides a view of the various waiver programs administered by the University. In fiscal year 2015, the University awarded over \$56 million in tuition waivers to 29,962 students. In fiscal year 2015, over \$31 million in tuition waivers was revenue that would have been remitted to the state if it had not been waived. Over \$24.9 million in tuition waivers was campus revenue waived through the various programs. On the left hand side of the document the waiver programs are listed and sub-totaled by categories describing their origin and sources. The data is presented to show the most recent year, fiscal year 2015, with available data and a five-year average detailing the utilization of the waiver. The number of students receiving a waiver and the total value of the waivers in shown in each revenue categories. The state supported column currently has a state revenue impact while the non-state supported column has a campus revenue impact. The data is aggregated to show a total number of students utilizing the program and the total value of the waivers.

Appendix D2 – Total Waivers by Campus

The Total Waivers by Campus document provides a view of the waiver program at each campus. On an annual basis each campus prepares utilization data for every waiver program to show both value and utilization by students. The data is reported annually to the Massachusetts Department of Higher Education in a similar format to the appendix document. On the left hand side of the document the waiver programs are listed and sub-totaled by categories describing their origin and sources. The data is presented to show the most recent year, fiscal year 2015, with available data detailing the utilization of the waiver. The number of students receiving a waiver and the total value of the waivers in shown in each revenue categories. The state supported column currently has a state revenue impact while the non-state supported column has a campus revenue impact. The data is aggregated to show a total number of students utilizing the program and the total value of the waivers.

Appendix D3 – Tuition Waiver Programs and Guidelines

The Tuition Waiver Programs and Guidelines document inventories each waiver program awarded and administered by the Department of Higher Education, the University, local campus, or affiliated agencies. The waiver programs are listed on the far-left column of the document. Each program is outlined with a brief description about the program, categorized into waiver type, and identified if it is UMass specific. The document also provides the legal citation for each program, the authorized maximum award, other specific eligibility requirements, and notes on potential changes to guidance for each waiver program.

Tuition and Fee Restructuring:

The University's current fee structure is derived from the provisions of Board Policy T92-031 Appendix E. This policy establishes approval authority for student fees and outlines four separate fee categories from which our fee schedules have been developed. The fee categories outlined in the policy are: Mandatory Student Charges (Table 1), Non-Mandatory Student Fees (Table 2), Sales and Service and Administrative Charges (Table 3), and other fee based charges (Table 4). The President's Office issues guidance to the campuses each fiscal year to ensure consistency in the preparation of the fee tables for the following fiscal year. In June of this year the UMass Board will adopt a revised policy reflecting changes in the tables, particularly in table one which will no longer include the mandatory curriculum fee.

Tuition rates have historically been set by the State's Board of Higher Education while fees have been approved by the University's Board of Trustees. With the recent legislation granting full tuition retention to the University, the Board of Trustees has now been given the ability to set tuition rates. However, that ability comes with the mandate to create a simpler student bill with a standard structure across campuses and the majority of student charges coming in the form of tuition.

To satisfy the requirements of the tuition retention legislation, the President's Office has prepared reports which combine the tuition, mandatory curriculum fees, and certain other mandatory fees into a broader tuition category to show what student charges might look like in the future. With the new student charge structure, the percentage of mandatory student charges attributed to tuition at the four undergraduate campuses increases from 12-33% to 91-100%. The Board has not yet formally adopted this recommendation and the schedules are still subject to change.

Restructuring of Mandatory Fees

The University set out to have all the mandatory fees treated consistently across the campuses. Based on the current proposals, all four undergraduate campuses are in agreement on the treatment of the mandatory fees. For undergraduate students, all mandatory fees will be rolled into tuition except for the technology fee and student

activity fee. The table below shows the mandatory fee schedule before and after. For the purposes of this conversation, it might be best to focus on just the undergraduate campuses which is why the last fees listed on the table are in italics.

Before

Fee	AMH Amount	BOS Amount	DAR Amount	LOW Amount	WOR Amount
MANDATORY CURRICULUM FEE	SEPARATE	SEPARATE	SEPARATE	SEPARATE	SEPARATE
Technology Fee	\$ 250	\$ 250	\$ 250	\$ 250	
Student Activity Fee	\$ 131	\$ 72	\$ 155	\$ 925	
Student Activity Fee (Graduate)		\$ 102			
Athletics		\$ 177	\$ 275		
Campus Center Fee		\$ 130	\$ 160		
Undergraduate Service Fee	\$ 1,419				
Graduate Service Fee	\$ 1,165				
Graduate Student Senate	\$ 120				
Health Services Fee	\$ 687	\$ 167	\$ 150	\$ -	
<i>Equipment Fee</i>					\$ 325
<i>Assessment Fee</i>					\$ 600
<i>Simulation Fee (CELS)</i>					\$ 200
<i>Health Assessment Lab Fee</i>					\$ 495
<i>Standardized Patient Lab Fee</i>					\$ 175
	\$ 3,772	\$ 898	\$ 990	\$ 1,175	\$ 1,795

After

Fee	AMH Amount	BOS Amount	DAR Amount	LOW Amount	WOR Amount
MANDATORY CURRICULUM FEE	IN TUITION	IN TUITION	IN TUITION	IN TUITION	IN TUITION
Technology Fee	\$ 250	\$ 250	\$ 250	\$ 250	
Student Activity Fee	\$ 131	\$ 72	\$ 155	\$ 125	
Student Activity Fee (Graduate)		\$ 102			
Athletics					
Campus Center Fee					
Undergraduate Service Fee					
Graduate Service Fee	\$ 1,165				
Graduate Student Senate	\$ 120				
Health Services Fee					
<i>Equipment Fee</i>					\$ 325
<i>Assessment Fee</i>					\$ 600
<i>Simulation Fee (CELS)</i>					\$ 200
<i>Health Assessment Lab Fee</i>					\$ 495
<i>Standardized Patient Lab Fee</i>					\$ 175
	\$ 1,666	\$ 424	\$ 405	\$ 375	\$ 1,795

Below is a description of the proposed changes by campus.

- Amherst – proposing to roll the \$1,419 undergraduate service fee and student health fee into tuition, while maintaining the technology fee and student activity fee as separate fees. For graduate students, only the curriculum support fee will be rolled into tuition.
- Boston – proposing to roll all mandatory fees from table 1 into tuition except the student activity fee and the technology fee.

- Dartmouth – proposing to roll all mandatory fees from table 1 into tuition except the student fee and technology fee. Dartmouth will also collapse the transcript fee and orientation fee into a new fee called “New Student Fee”.
- Lowell – proposing to roll the athletic portion of the student activity fee into tuition and to keep the remaining \$125 as a separate student activity fee. They are also proposing to roll two one-time fees from Table 2 — the First Year Student Fee (\$200) and Graduation Fee (\$200) into tuition at a lower rate of \$100. They are also proposing to eliminate the Readmission Fee from Table 3.
- Medical School – Proposing to roll the mandatory curriculum fee into tuition and maintaining all other mandatory fees.

As illustrated in the “AFTER” table, Amherst, Boston, Dartmouth, and Lowell are in agreement that their technology fee and student activity fee should be the only mandatory fees that are kept separate from tuition, consistent with how it is treated by peer institutions.

Additional Considerations

The table below displays the inter-campus tuition and fee comparison for in-state undergraduate students. The undergraduate campuses will achieve relative consistency when comparing tuition versus fees, with the flagship Amherst campus having the highest tuition amount.

Campus	Tuition	Fees	Tuition and Fees
Amherst	\$13,790	\$381	\$14,171
Boston	\$12,360	\$322	\$12,682
Dartmouth	\$12,183	\$405	\$12,588
Lowell	\$13,152	\$375	\$13,527

The proposed tuition and fee approach now more closely aligns the UMass campuses with their peers.

Appendix E1 – Fiscal Year 2017 Proposed Tuition Summary

This document is a summary of the tuition, curriculum fees, and mandatory fees for each campus before and after the proposed tuition restructuring. This document assumes that each campus will combine tuition and the curriculum fee, and all four undergraduate campuses will add all other mandatory fees into tuition except for the student activity fee and technology fee. The Amherst graduate service fee is also kept separate from tuition.

Appendix E2 – Fiscal Year 2017 Proposed Tuition by Campus

This document is similar to Appendix E1 but provides more detail into the mandatory fees at each campus.

Changes in the Student Bills

Changes in the student bill will be consistent with the following guidelines provided for in statute:

- Presentation - simple/understandable to anyone
- Consistent across the campuses – layout, titles, descriptors, etc.
- Comparable to peer bills
- Include state subsidy on the bill

The proposed changes were broken down into two phases. The first phase would be completed in time for the fall 2016 billing cycle and the second phase will include work that would continue on and would be incorporated into future releases.

Phase 1 Recommendations:

- Titles, descriptions and organization of fees along with using consistent vocabulary across all the campuses. For example:
 - Technology Fee
 - Anticipated Aid – change name to Estimated Aid
 - In-state and out-of-state (versus resident/non)
 - Descriptive language clearly stating a fee is one time (if applicable)
 - Mandatory fees that continue to fall outside tuition will now be called other student fees
- Group different categories of fees and segregate by use of a blank line (ex: tuition, continuing education, room & board, etc. will be the major categories)
- Change the order in the way financial aid is sorted: institutional, state, federal, loans
- Prior invoice balance will be moved on the bill to be in line with the rest of the charges
- Titles and description of waivers (credits) the same across the campuses
- Will show the state subsidy in narrative format
- Campuses will review, update and create, if necessary, job aids to clearly explain the student bill. Links to job aids should be displayed prominently.
- Analysis will include bills from peer institutions to show comparisons.
- Launch a focus group for student and parents to gather more insight and to help inform future enhancements.

Impact on the Fiscal Year 2017 State Appropriation

The University's fiscal year 2017 budget request will look different than previous years because the Legislature passed and the Governor signed into law provisions in the fiscal year 2016 state budget that allows the University to retain tuition received from in-state undergraduates. Prior to the change in law, tuition from in-state students was remitted to the Commonwealth's General Fund.

One of the key tenets of the tuition retention legislation is that it provided cost neutrality for both the University and the state. As the University develops the fiscal year 2017 budget, the impact of tuition retention must be considered to ensure the legislation

remains cost neutral as it is intended. To do this, the University will build its request starting from the prior year's base appropriation, add in the amount being requested to support the University in fiscal year 2017 and subtract off the value of the amount of tuition that will be retained. For the purposes of estimating, the amount retained is assumed to be consistent with the amount retained in fiscal year 2015 or \$31 million; however, this amount could be lower in fiscal year 2016 and 2017.

For FY17, the University of Massachusetts is requesting an increase in its base appropriation of \$62 million, not including fringe support, for fiscal year 2017. The University has identified a total need of approximately \$111 million, but has been able to reduce that estimate through enrollment growth and increased efficiency efforts across the System. Overall, this request is approximately \$7 million less than estimates we projected in October. After reforecasting their budgets mid-year, campuses were able to reflect additional savings and efficiencies that have occurred this year into their projections for upcoming fiscal year. The table below shows the buildup of the state appropriation as it would be funded in the state budget not including the additional fringe support tied to any personnel funded through state appropriations.

FY17 State Budget Request	Before Tuition Retention	After Tuition Retention
FY16 State Budget: Base Appropriation	\$ 534,007,373	\$ 502,952,373
FY17 Increased State Funding Requested	\$ 61,950,000	\$ 61,950,000
Total FY17 Requested State Appropriation (1)	\$ 595,957,373	\$ 564,902,373
\$ Change from FY16 State Budget	\$ 61,950,000	\$ 61,950,000
% Change from FY16 State Budget	12%	12%
(1) Does not include fringe support provided on all State Appropriations		

For fiscal year 2017, the amount of state appropriation each campus receives will be reduced by an amount equal to the increase in the tuition they will retain going forward. Per statute, the campuses are able to use these funds to pay for employee salaries and the State will continue to cover the fringe benefits as they did when the funds were appropriated (as opposed to retained by the campuses directly). As an estimate, the amount of tuition remitted by each campus for fiscal year 2015 was as follows (the estimates will be similar in fiscal years 2016 and 2017, but will vary slightly):

<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>	<u>Worcester</u>	<u>TOTAL</u>
(13,000,454)	(3,805,664)	(4,227,911)	(7,191,670)	(2,828,871)	(31,054,570)

In turn, the State will eliminate the amount of tuition it receives each year in the General Fund from tuition remitted by each campus. It will also decrease the state appropriation to the University by an equal amount. Normally this would result in the loss of fringe for the University, but as stated above, this will be mitigated by allowing campuses to receive benefits on any employees paid from retained tuition. As an example of how this transaction will look from the perspective of the State and the

University, the table below shows fiscal year 2016 budget and anticipated in-state tuition. The difference in the pre and post tuition retention is that the transaction is cost neutral to both the State and the University:

UNIVERSITY REVENUES	Pre-TR	Post - TR
FY16 Appropriation; Estimated Tuition	University	University
State Appropriation	531,807,373	500,753,373
Tuition Remitted to State	(31,054,000)	-
TOTAL	500,753,373	500,753,373
STATE REVENUES	Pre-TR	Post - TR
FY16 Appropriation; Estimated Tuition	State	State
State Appropriation	531,807,373	500,753,373
Tuition Received from University	(31,054,000)	-
TOTAL	500,753,373	500,753,373

Because the University’s fiscal year 2017 budget will ultimately look different, it will be important to communicate the impact of tuition retention and to ensure that other budgetary changes to the state appropriation (increases or decreases) are identified and analyzed separately.

A further explanation of fringe Support

The Commonwealth pays the fringe benefits for University employees paid from the state appropriation; this is true of any State agencies or quasi agency. As such, the University has been forced to use the state appropriation for salaries so that we collect fringe benefits for our employees. Because fringe benefits (primarily health and pension) are paid centrally by the State from other appropriated funds the benefit to the University is not seen in the state appropriation as it published in the annual budget. As such, the University develops a separate schedule published annually in the Audited Financial Statements to more accurately reflect the support provided by the State inclusive of both the direct appropriation and fringe support.

Since fringe support is effectively additional state funding for the University’s budget, it is critical to consider this in the context of changes to the state appropriation. Because of this, the legislation contemplated a change to the appropriation based on tuition retention and provided a mechanism to ensure that it would not result in a reduction of State support to the University. Section 73 subsection (i) of the tuition retention legislation included the following language:

(i) For the purposes of calculating and funding the fringe benefits for employees whose salaries are paid from retained tuition at the university as provided for in subsection (e), all fringe benefits and collective bargaining costs of employees paid from retained tuition shall not exceed the amount of tuition remitted to the commonwealth as reported in the university’s audited financial statements for fiscal year end June 30, 2016 and provided that said amount shall continue to be paid annually under said subsection (e).

This language essentially means that for the purposes of fringe, any employee paid from the in-state tuition now retained by the campus will be treated as though they are paid from state appropriation for the purposes of collective bargaining and fringe support. Without such language, the University would lose approximately \$10 million in state support as illustrated below.

Fringe Calculated	FY16	FY16	FY16
	<u>Pre-TR</u>	<u>Post-TR</u> <u>(No Adjustment)</u>	<u>Post-TR</u> <u>(Adjusted Per Legislation)</u>
State Appropriation	531,807,373	500,807,373	500,807,373
Out of State TR Fringe	39,583,000	39,583,000	39,583,000
In State TR Fringe			31,000,000
Plus Fringe Benefits for State Employees	176,102,513	166,548,313	176,102,513
Fringe Rate	30.82%	30.82%	30.82%

It should be noted that the fringe support provided by the State as outlined above is not the total cost of fringe for University employees. In fact, the University pays the State close to \$100 million annually for fringe costs associated with employees paid from a source other than the state appropriation even though they are state employees and receive the same benefits. The cost of fringe rate changes annually is set by the State, increases in salaries by collective bargaining agreements and additional faculty and staff hired by the University can also impact the total amount of fringe paid annually.

Fringe Benefits Costs	FY15
State Funded (Additional State Support)	159,400,000
University Funded (Paid to the State)	98,400,000
Total Fringe	257,800,000

In an effort to more clearly reflect the cost of employee benefits at the University, the Tuition Retention Task Force will further study the topic of fringe and report on it in more detail at a later time.

(c) The feasibility and relative benefits of including the total cost of fringe benefits for employees of the university paid with state appropriations in the base appropriation of the university beginning on July 1, 2016, and shifting the associated obligation of paying the university's actual fringe benefit costs for all employees of the university to the university beginning on July 1, 2016;

This study is currently underway and the results will be further discussed for potential changes in the future to how the State funds the University.

University Reporting

The University of Massachusetts' approach to planning begins with the System's mission statement and goals developed and approved by the Board of Trustees, which provide the foundation for specific strategic plans designed by each of the five campuses.

Each campus has a strategic plan that they are working toward. Each campus, and the System Office, operate on a July 1st to June 30th fiscal year. Campuses begin developing their budgets at the department level nearly seven months before the start of the fiscal year. The operating budget for each of the campuses and the System are detailed individually in the University's operating budget and approved by the Board every June.

The Board of Trustees' review and approval of the operating budget coincides with their review and approval of student charges for the upcoming academic year. The total amount of state support received by the University has a major impact on the amount of tuition and fees that will be approved; therefore, the outcome of the student charges becomes a significant milestone in the annual budget process.

In February, the budget office at each of the campuses begin formulating estimates for the campuses general operating funds based on projected enrollment, anticipated level of state appropriations, student fee and tuition revenue, interest earnings, indirect cost recovery from grants and other unrestricted operating, auxiliary and grant and contract revenues, as well as expected changes in fixed and variable costs. The allocations are reworked throughout the spring as the enrollment and budget outlook becomes clearer and the campuses begin working with each of the departments, schools and colleges.

In the spring, the various planning committees on the campus get involved in the budget process. On most campuses departments generally begin the fiscal year with the same budget they received in the previous year. Depending upon the overall situation of the state budget, the unrestricted undesignated budgets, comprised primarily of the state appropriation and tuition and fee revenue, are not finalized until early in the fiscal year after the state appropriation has been determined and actual enrollment data is available. Once the student charge vote is passed and the state appropriation is finalized, the Chancellor and campus leadership determine final budget allocations to departments within the University. In addition, each department, school and college is required to provide year-end projections at mid-year to support updates to campus operating budgets.

The UMass System Office also uses this information to report on the University's operating budgets, capital budgets, academic programs, and performance data. These reports are often detailed at the system and campus levels. These reports include data on enrollment, budget and financial projections, capital projects and bond financing, annual financial and performance indicators just to highlight a few. Throughout the fiscal year University leadership reviews these reports with the Board in public

meetings. For example the Administration and Finance Committee of the Board reviews the following:

- Each June we review and approve the annual fiscal year budget along with fees (not to include Tuition and Fees) that will be charged to students for the coming school year.
- December we review the University's audited financial statements and key financial metrics that indicate performance during the prior year.
- During the winter we complete our five-year financial forecast of revenues and expenses which drives our overall state budget request
- In the spring we report on financial and present peer comparison of key metrics selected by the Board.
- In addition, each quarter we provide the Board with updates on the University's capital plan, changes to metrics selected by the Board and displayed in an executive dashboard - all of which help inform the University's decision making.

Many of the reports issued on an annual basis are reviewed by other Committees such as the Committee on Academic and Student Affairs, the Advancement Committee, the Committee of the Whole and in some cases the full Board. Examples of these reports include the University's research expenditures, student enrollment and success, diversity data of our students and staff and crime statistics.

In addition to the many reports described above and those listed in Appendix H, the Tuition Retention legislation provides for some additional reports on the part of the University.

One-time Waiver Report:

Per the tuition retention legislation, the University of Massachusetts shall report to the Senate and House Committees on Ways and Means, the Joint Committee on Higher Education and the Board of Higher Education on the existence and the calculated value of all tuition waivers, grants and scholarships identified in chapter 15A of the General Laws or any other general or special law and reductions collectively bargained for in the form of a tuition or fee waiver at the University of Massachusetts. The report shall be submitted not later than August 1, 2016

Ongoing Reporting:

Each year university will report to the Senate and House Committees on Ways and Means, the Joint Committee on Higher Education, the Secretary of Administration and Finance and the Secretary of Education on:

- (i) The status of the percentage of student education costs placed upon the student and subsidized by the commonwealth
- (ii) A comprehensive document articulating the efficiencies and effectiveness of initiatives and programs at the university that save the commonwealth and students money and make the 5-campus system more efficient.

Appendices to the Report

- A. List of Task Force Members
- B. Tuition Retention Legislation
- C. Summary of Tuition Retention Legislation
- D. Inventory of Waivers
 - 1. Fiscal Year 2015 Total and Five-year Average
 - 2. Total Waivers by Campus
 - 3. Inventory of collective bargaining waivers
- E. Fee Schedules
 - 1. Summary of Tuition and Mandatory Fees
 - 2. Tuition and Mandatory Fees by Campus
- F. President's July 16th Letter to Secretary Peyser
- G. Advisory Task Force Meeting Agendas
- H. List of annual reports prepared by UMass

APPENDIX A

Task Force Membership

* may appoint a designee to serve in their place

Martin Meehan, President *

UMass

Chair of the Advisory Task Force

Kumble R. Subbaswamy, Chancellor

UMass Amherst (Representative of the of the five campuses)

Designee: Chris Dunn

Stanley C. Rosenberg, Senate President*

Designee: Anna Freedman

Robert A. DeLeo, Speaker of the House*

Designee: Michele Lisio

Kristen Lepore, Secretary*

Administration and Finance

Designee: Bob Ross

Jim Peyser, Secretary*

Education

Designee: Tom Moreau

Carlos E. Santiago, Commissioner*

Department of Higher Education

Designee: Sean Nelson

James Buonomo, UMass Trustees

Chair, Committee on Administration and Finance

Maria Furman, UMass Trustee

Chair, Audit Committee

Alyce Lee, UMass Trustee

Chair, Committee on Academic and Student Affairs

APPENDIX B

UMass Tuition Retention

SECTION 36. Section 9 of said chapter 15A, as so appearing, is hereby amended by striking out, in lines 75 to 79, inclusive, the words “In the case of the university, the council shall review the recommendations of the board of trustees relative to tuition rates at said university and its campuses. Said tuition rates shall be subject to the approval of the council” and inserting in place thereof the following words:- Tuition rates shall be subject to the approval of the council; provided, however, that tuition rates at the University of Massachusetts shall be subject to sections 1A and 1B of chapter 75 and shall not require the approval of the council.

SECTION 37. Said section 9 of said chapter 15A, as so appearing, is hereby further amended by striking out, in line 84, the words “public institution of higher education” and inserting in place thereof the following words:- state university and community college.

SECTION 72. Section 1A of chapter 75 of the General Laws, as so appearing, is hereby amended by striking out, in lines 150 and 151, the words “; (p) submit recommendations to the council for approval for tuition rates at the university”.

SECTION 73. Said chapter 75 is hereby further amended by inserting after section 1A the following section:-

Section 1B. (a) For the purposes of this section, the following words shall have the following meanings unless the context clearly requires otherwise:

“Student charges”, in-state and out-of-state tuition and fees that are charged to students for general attendance at the university; provided, however, that “student charges” shall not include any fee or other charge established by the university that is specific to a particular course, program or activity or any charges for room, board or student health insurance.

“Student tuition credit”, a reduction in student charges for an eligible student.

(b) The board of trustees shall fix and establish student charges for the university. In-state tuition and mandatory student charges shall preserve affordability for residents of the commonwealth. Out-of-state student charges shall appropriately balance the financial needs of the university with the need to be competitive with peer institutions and, to the extent possible, cover, at a minimum, the actual cost of a student’s education. Tuition shall comprise the majority portion of student charges. In establishing student charges, the board of trustees shall consider factors including: (i) the Consumer Price Index published by the Bureau of Labor Statistics in the United States Department of Labor; (ii) the Higher Education Price Index calculated by Commonfund; (iii) tuition

and fee rates at peer institutions; (iv) collective bargaining costs; (v) total support from the commonwealth, including direct appropriations and support for capital construction and maintenance; and (vi) other relevant data and measures. The board shall increase in-state student charges by no more than is necessary to adequately fund the university.

(c) All student charges received by the university under this section shall be retained by the university in a revolving trust fund and shall be expended as the board of trustees directs for the operation and support of the university. Any balance remaining in the trust fund at the close of a fiscal year shall continue to be held in the trust fund, shall remain available for expenditure in subsequent fiscal years and shall not revert to the General Fund. The trust fund shall be subject to audit by the state auditor.

(d) The university shall provide to each student a detailed statement of all student charges. The statement shall be in a form approved by the board of trustees and shall include a breakdown of the student charges and show the discount rate for in-state students and the discount for a student who is eligible for a tuition credit.

(e) For employees of the university whose salaries are paid from tuition retained under subsection (c), fringe benefits and collective bargaining shall be funded as if those employees' salaries were supported by state appropriations and the funds shall not be assessed as fringe. This subsection shall apply only to fringe benefits and collective bargaining costs associated with salaries paid from retained tuition.

(f) All tuition waivers, grants and scholarships identified in chapter 15A or any other general or special law and reductions collectively bargained for that are in the form of tuition or fee waivers shall be student tuition credits. Students that are eligible for a tuition credit shall have their student charges reduced by the amount of the tuition credit.

(g) The board of trustees shall not accept any tuition waivers, grants or scholarships identified in chapter 15A or any other general or special law or reductions collectively bargained for that are in the form of tuition or fee waivers established on or after July 1, 2016 unless the reduction is accompanied with an appropriation that fully supports the reduction or the reduction is approved by the board of trustees.

(h) The university shall report annually not later than March 1 to the senate and house committees on ways and means, the joint committee on higher education, the secretary of administration and finance and the secretary of education on: (i) the status of the percentage of student education costs placed upon the student and subsidized by the commonwealth; and (ii) a comprehensive document articulating the efficiencies and

effectiveness of initiatives and programs at the university that save the commonwealth and students money and make the 5-campus system more efficient.

(i) For the purposes of calculating and funding the fringe benefits for employees whose salaries are paid from retained tuition at the university as provided for in subsection (e), all fringe benefits and collective bargaining costs of employees paid from retained tuition shall not exceed the amount of tuition remitted to the commonwealth as reported in the university's audited financial statements for fiscal year end June 30, 2016 and provided that said amount shall continue to be paid annually under said subsection (e).

SECTION 174. (a) Notwithstanding any general or special law to the contrary, the University of Massachusetts shall classify as a student tuition credit as defined in section 1B of chapter 75 of the General Laws all tuition waivers, grants and scholarships identified in chapter 15A of the General Laws or any other general or special law and all reductions collectively bargained for that are in the form of a tuition or fee waiver available to students as student tuition credits.

(b) The University of Massachusetts shall calculate the value of all tuition waivers authorized under section 19 of chapter 15A of the General Laws, or any other general or special law, and all collectively bargained for reductions existing on July 1, 2016.

(c) The University of Massachusetts shall credit to eligible students the calculated value of the tuition waivers, grants and scholarships identified in chapter 15A of the General Laws, or any other general or special law, and reductions collectively bargained for in the form of a tuition or fee waiver calculated in subsection (b) as a student tuition credit on the student's statement of student charges as defined in section 1B of chapter 75 of the General Laws.

(d) The University of Massachusetts shall report to the senate and house committees on ways and means, the joint committee on higher education and the board of higher education on the existence and the calculated value of all tuition waivers, grants and scholarships identified in chapter 15A of the General Laws or any other general or special law and reductions collectively bargained for in the form of a tuition or fee waiver at the University of Massachusetts. The report shall be submitted not later than August 1, 2016.

SECTION 175. Notwithstanding any general or special law to the contrary, all tuition and fee waivers that are exclusive to the University of Massachusetts shall require only the approval by the board of trustees of the University of Massachusetts.

SECTION 195. Not later than January 31, 2016, the president of the University of Massachusetts shall study and report to the secretary of administration and finance, the secretary of education, the university's board of trustees and the house and senate

committees on ways and means on the following matters related to tuition retention and the implementation of sections 36, 37, 72, 73, 174 and 175:

(a) Calculations and recommendations relative to the value of all tuition waivers authorized under section 19 of chapter 15A of the General Laws, or any other general or special law, and all collectively bargained for reductions existing on July 1, 2016 and recommendations for procedures and approval mechanisms for changes in the value of student tuition credits;

(b) Recommendations for specific budgetary and financial information that may be included in an annual report to be used to develop the annual university budget and increase transparency; provided, further that the recommendations shall include, but not be limited to, (i) a list of required reporting and auditing of revenue and expenditures supporting operation of the university, as presented in the university's consolidated audited financial statements, the 5-year capital plan, all auxiliary functions and quasi-public entities that provide service or support to the university or its campuses in fulfillment of the requirements of section 10 of chapter 75 of the General Laws and (ii) the annual reporting required to monitor progress in meeting the goals of and fidelity to such plans, as required pursuant to said section;

(c) The feasibility and relative benefits of including the total cost of fringe benefits for employees of the university paid with state appropriations in the base appropriation of the university beginning on July 1, 2016, and shifting the associated obligation of paying the university's actual fringe benefit costs for all employees of the university to the university beginning on July 1, 2016; and

(d) Recommendations for any reduction or increase to the university's base appropriation in fiscal year 2017

SECTION 211. Sections 36, 37, 38, 44, 72, 73, 119, 174 and 175 shall take effect on July 1, 2016

APPENDIX C

Summary of the Tuition Retention Legislation

Chapter 46 of the Acts of 2015, the General Appropriation Act for Fiscal Year 2016, includes sections 36,37,72,73,174,175,195,211 which together grants the University the authority to retain in-State-tuition and provides for other changes in law as summarized below

Section 73. New section in chapter 75 that gives UMass Board of Trustees the exclusive authority to set tuition and fees at the University, allows the campuses to keep tuition paid for by in-state students that had been previously remitted to the General Fund and mandates that the University present a simple bill to students where the majority of the cost of attendance at the 5 campus system is tuition. It also solves other related issues with the move to a high tuition low fee model, fringe benefits for employees paid by state appropriation and existing tuition waivers.

- **Subsection (a)-** Defines two new terms of art, “student charges” which is the cost of attendance at the campuses, minus any fee for auxiliary services or fee for special courses, programs or activities; and “student tuition credit”, a credit off of the total student bill for any existing tuition, fee and tuition and fee waiver in existing law or through collective bargaining. The existing tuition and fee waivers are such things as John and Abigail Adams scholarships, veteran tuition waivers, community college graduate tuition waivers, etc.
- **Subsection (b) -** Gives the UMass BOT power over the setting of all tuition and fees at the University. The section makes clear the tuition and fee increases shall be done with consideration around the CPI index, HEP index, peer institutions, collective bargaining obligations and state support along with other relevant industry and financial factors.
- **Subsection (c) -** All tuition and fees paid by students and parents shall be retained by the University. Obligates the University to provide a simple bill for our students where tuition makes up the majority of the cost of attendance at the campuses. The bill will reflect, for in-state students, in clear terms, the state subsidy they are receiving as a result of the Commonwealth’s commitment to higher education. The bill will reflect any student tuition credits (existing tuition, fee and tuition or fee waivers) that students are eligible for. The section sets up a revolving fund to keep tuition at the campuses that had previously been remitted to the Commonwealth.
- **Subsection (d)-** Provides that the state shall continue to pay the fringe benefits and collective bargaining costs of all employees whose salaries are paid from retained tuition. This language is modeled after section 25 of chapter 45 of the acts of 2005 that granted out of state tuition retention to the Amherst campus amongst others.
- **Subsection (e) -** Turns all existing tuition, fee and tuition and fee waivers into student tuition credits and provides that those student tuition credits will continue to be honored to students at UMass.

- **Subsection (f)**- Mandates that all future student tuition credits or other future tuition, fee or tuition and fee waivers passed after July 1, 2016 must be accompanied by a state appropriation that covers the full costs of the reduction.
- **Subsection (i)** – Establishes that the amount of tuition remitted to the Commonwealth as of June 30, 2106 is the amount by which the State appropriation to the University will be reduced in FY17. It also caps, at the same amount, the total fringe benefits and collective bargaining costs that will be paid by the Commonwealth for employees paid from retained tuition.

Sections 36, 37 and 72. Remove the power of the Board of Higher Education from approving tuition increases at the University, setting up a tuition and fee structure that is in line with peer institutions and that will provide students and their parents with a bill that is sensible and easy to understand.

Section 174. Is an outside section that looks to value all our existing tuition and fee waivers as of July 1, 2016 and provides that UMass will honor all tuition and fee waivers in place moving forward.

- **Subsection (a)** - Reiterates that all existing statutory and collectively bargained tuition, fee and tuition and fee waivers shall be known as student tuition credits.
 - **Subsection (b)** - On July 1, 2016 UMass will calculate exactly what each of the existing statutory or collectively bargained tuition waivers are worth.
 - **Subsection (c)** - States that UMass will honor all existing statutory and collectively bargained tuition, fee and tuition and fee waivers as student tuition credits for students at UMass.
 - **Subsection (d)**- Requires a one-time UMass report to the Joint Committee on Higher Education, the Senate and House Committees on Ways and Means and the Board of Higher Education that provides the value of the existing statutory and collectively bargained tuition, fee and tuition and fee waivers.
- **Section 175.** Provides that those tuition and fee waivers and reductions that are exclusive to the University of Massachusetts shall only require approval of the UMass Board of Trustees. This includes division 1 athletic scholarships and UMass Exchange Tuition Waivers Programs.
 - **Section 211.** Establishes an effective date of July 1, 2016 (Fiscal Year 2017) giving the University time to implement the plan.

Appendix D1: FY15 Total and Five-Year Average

				FY 2015						Five-Year Averages					
				State Supported (State Revenue Impact)		Non-State Supported (Campus Revenue Impact)		Total		State Supported (State Revenue Impact)		Non-State Supported (Campus Revenue Impact)		Total	
				# Students (Except UMB)*	\$ Value	# Students (Except UMB)*	\$ Value	# Students	\$ Value	# Students (Except UMB)*	\$ Value	# Students (Except UMB)*	\$ Value	# Students	\$ Value
National Guard	Statutory - Appropriated		x	196	261,446	28	223,268	328	\$484,714	180	279,164	16	153,796	295	\$432,960
DCF Adopted Children	Statutory - Appropriated		x	54	80,304	1	10,298	72	\$90,602	46	73,432	1	20,452	68	\$93,884
DCF Foster Care Child	Statutory - Appropriated		x	67	112,212	1	21,090	101	\$133,302	67	106,872	2	18,308	94	\$125,180
TOTAL - Statutory - Appropriated				317	453,963	30	254,656	501	\$708,618	294	459,468	19	192,556	456	\$652,025
Active Duty Armed Forces	Statutory - Named		x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
Commission for the Blind	Statutory - Named		x	3	7,708	0	7,284	13	\$14,992	3	8,035	0	4,596	10	\$12,631
MA Rehabilitation Commission	Statutory - Named		x	124	224,935	1	75,824	258	\$300,758	172	289,699	1	78,574	327	\$368,273
Native American	Statutory - Named		x	16	29,302	3	22,906	32	\$52,208	18	39,606	3	19,752	38	\$59,358
Need-based	Statutory - Named	UMass?	A/B	6,315	9,102,798	68	2,460,229	9,771	\$11,563,028	5,972	9,027,094	14	1,845,439	8,900	\$10,872,533
Senior citizens	Statutory - Named		x	67	44,358	13	37,922	88	\$82,280	70	48,871	10	36,878	95	\$85,749
Veterans/Vietnam veterans	Statutory - Named		x	220	455,883	10	272,397	572	\$728,280	272	514,032	11	216,500	609	\$730,532
Graduate Student	Statutory - Named		A	302	876,909	541	5,773,622	1,657	\$6,650,531	548	3,546,021	354	2,799,692	1,608	\$6,345,713
TOTAL - Statutory - Named				7,047	10,741,893	636	8,650,184	12,391	\$19,392,077	7,055	13,473,358	393	5,001,431	11,588	\$18,474,789
Adams Scholarship	Statutory - BHE		x	8,151	13,268,917	9	506,552	8,765	\$13,775,470	7,434	12,063,603	12	365,926	7,967	\$12,429,529
Academic & Artistic Talent	Statutory - BHE	UMass	A/B/D	350	491,223	89	193,906	439	\$684,319	296	486,658	77	223,804	480	\$710,461
Aspiring Teachers	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
Career Adv. Program	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
Cooperative Association of States for Scholarship	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
Collaborative Teachers	Statutory - BHE	UMass?	x	35	24,417	0	10,371	47	\$34,788	48	44,198	1	8,083	78	\$52,281
High Tech. Scholar/Intern	Statutory - BHE	UMass?	x	4	0	0	0	0	\$0	13	15,614	0	6,481	13	\$22,096
Human Service Providers	Statutory - BHE	UMass?	x	4	4,511	0	0	4	\$4,511	10	8,982	0	0	10	\$8,982
MassTransfer(Joint Admissions/Tuition Adv. Prog.)	Statutory - BHE	UMass?	A/B/D	940	458,138	116	165,553	1,314	\$623,691	757	381,059	90	136,203	1,080	\$517,262
Katrina	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
MA Higher Education Employee	Statutory - BHE	UMass?	D	600	635,575	55	236,978	679	\$872,553	544	654,618	46	227,461	723	\$882,079
Other State Employees	Statutory - BHE	UMass?	x	54	107,570	45	154,357	224	\$261,927	61	142,076	36	113,242	239	\$255,318
Other State Emp Dependents	Statutory - BHE	UMass?	x	4	3,182	0	0	4	\$3,182	5	4,600	0	0	5	\$4,600
Other BHE UPLAN	Statutory - BHE	UMass?	x	177	136,338	1	439	192	\$136,777	228	170,579	1	1,173	250	\$171,752
Other BHE Higher Ed Spouses & Dependents	Statutory - BHE	UMass?	A/B/L	214	299,960	23	87,470	276	\$387,430	303	466,012	22	131,759	447	\$597,771
Other BHE	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	97,455	0	33,723	101	\$131,178
Research Assistants	Statutory - BHE	UMass?	B/D/L	450	2,094,800	892	4,636,413	1,342	\$6,731,213	534	2,679,512	870	4,628,906	1,403	\$7,308,418
S.Z. Koplik Cert. of Mastery	Statutory - BHE	UMass?	x	577	916,446	0	5,066	584	\$921,511	503	810,818	0	7,881	512	\$818,699
UMass Athletic	Statutory - BHE	UMass	A/B/D	166	247,831	141	1,249,949	307	\$1,497,780	159	241,955	142	1,254,859	301	\$1,496,814
UMass Exchange Program	Statutory - BHE	UMass	x	83	104,417	31	423,058	171	\$527,475	91	378,099	15	224,209	182	\$602,307
Validictorian	Statutory - BHE	UMass?	x	13	19,000	0	643	14	\$19,643	14	22,485	0	699	16	\$23,184
Washington Center	Statutory - BHE	UMass?	x	9	6,870	1	1,091	10	\$7,961	11	7,715	0	218	11	\$7,934
Commonwealth Sept. 11, 2001	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
Children of Fallen Service Member	Statutory - BHE	UMass?	x	0	0	0	0	0	\$0	0	0	0	0	0	\$0
TOTAL - Statutory - BHE				11,827	18,819,196	1,403	7,671,034	14,372	\$26,490,230	11,011	18,676,039	1,313	7,364,627	13,817	\$26,040,665
Public Interest Fellowship - Law School	BHE - Campus	UMass?	x	51	47,310	10	63,343	61	\$110,653	39	41,534	10	29,952	40	\$71,486
Inclusive Concurrent Enrollment Program	BHE - Campus	UMass?	x	9	3,718	0	1,287	21	\$5,005	5	2,717	0	1,216	16	\$3,933
Sachem - Faculty (Dartmouth)	BHE - Campus	UMass?	x	0	\$0.00	2	\$340.00	2	\$340	0	0	7	1,192	7	\$1,192
PCE Institutional (Dartmouth)	BHE - Campus	UMass?	x	0	\$0.00	43	\$9960.80	43	\$9,961	0	0	47	18,079	47	\$18,079
DCE Partnership (Dartmouth)	BHE - Campus	UMass?	x	0	\$0.00	69	\$34,513.74	69	\$34,514	0	0	91	39,543	91	\$39,543
Course Vouchers (Lowell)	BHE - Campus	UMass?	x	9	\$2,455.92	1	\$273.00	10	\$2,729	12	3,547	1	182	13	\$3,729
Cross-Campus (Lowell)	BHE - Campus	UMass?	x	3	\$819.00	9	\$12,494.00	12	\$13,313	6	2,244	7	15,231	13	\$17,474
Higher Education (Lowell)	BHE - Campus	UMass?	x	0	\$0.00	0	\$0.00	0	\$0	15	8,110	0	0	15	\$8,110
NECCUM	BHE - Campus	UMass?	x	55	19,507	4	6,782	59	\$26,289	33	11,752	4	6,337	37	\$18,089
Vista Waiver Amherst	BHE - Campus	UMass?	x	0	\$0.00	0	\$0.00	0	\$0	1	165	1	621	1	\$786
UMB Employee (Boston)	BHE - Campus	UMass?	x	0	\$74,108.60	0	\$76,740.00	179	\$150,849	0	14,822	0	15,348	36	\$30,170
UMB Dependents / Spouse (Boston)	BHE - Campus	UMass?	x	0	\$20,575.60	0	\$35,907.70	46	\$56,483	0	4,115	0	7,182	9	\$11,297
Chancellor Scholarship (Boston)	BHE - Campus	UMass?	x	0	\$198,917.50	0	\$158,030.50	222	\$356,948	0	39,784	0	31,606	44	\$71,390
On the Job Training (Boston)	BHE - Campus	UMass?	x	0	\$17,514.00	0	\$8,484.00	66	\$25,998	0	3,503	0	1,697	13	\$5,200
Foster Furculo Scholarship (Boston)	BHE - Campus	UMass?	x	0	\$16,364.50	0	\$10,060.50	17	\$26,425	0	3,273	0	2,012	3	\$5,285
DCE Waiver	BHE - Campus	UMass?	x	0	0	93	47,196	93	\$47,196	0	0	77	36,897	77	\$36,897
Dual Enrollment	BHE - Campus	UMass?	x	49	13,697	0	0	49	\$13,697	33	8,230	0	0	33	\$8,230
Foreign Exchange	BHE - Campus	UMass?	x	0	0	153	850,599	153	\$850,599	0	14,006	172	1,043,855	176	\$1,057,861
University Waiver	BHE - Campus	UMass?	x	1	177	30	30,475	31	\$30,653	2	2,721	27	20,614	29	\$23,335
Other BHE Teaching Assistants	BHE - Campus	UMass?	B/D/L	339	552,299	1,073	6,518,042	1,412	\$7,070,341	353	572,169	1,025	6,270,073	1,378	\$6,842,242
Other BHE Intern	BHE - Campus	UMass?	B/D/L	48	82,390	105	514,893	153	\$597,283	67	114,664	108	593,323	175	\$707,987
Sachem - Student (Dartmouth)	BHE - Campus	UMass?	x	0	\$0.00	0	\$0.00	0	\$0	2	266	0	0	2	\$266
McNair	BHE - Campus	UMass?	A/D/L	0	14,152	0	10,559	19	\$24,711	0	14,753	0	6,491	14	\$12,746
TOTAL - BHE - Campus				564	1,064,006	1,592	8,389,979	2,717	\$9,453,985	568	847,621	1,577	8,134,958	2,256	\$8,982,579
SC Scholarship Prog./Tsongas	Not Reported			0	0	0	0	0	\$0	0	0	0	0	0	\$0
Police/Firefighters	Not Reported			0	0	0	0	0	\$0	0	0	0	0	0	\$0
Other Statutory	Not Reported			0	0	0	0	0	\$0	0	0	0	0	0	\$0
Courtesy	Not Reported			0	0	0	0	0	\$0	0	0	0	0	0	\$0
TOTAL - Not Reported				0	0	0	0	0	\$0	0	0	0	0	0	\$0
Total All Waivers				19,755	31,079,057	3,661	24,965,853	29,981	56,044,910	18,927	33,456,485	3,302	20,693,572	28,117	\$54,150,057

Appendix D2 - Total Waivers By Campus

Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015

University of Massachusetts Amherst

	State Supported		Non-State Supported (Tuition Retention)		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
	STATUTORY					
Active Duty Armed Forces					0	\$0.00
Adams Scholarship	5,679	9,211,536			5,679	\$9,211,535.80
Commission for the Blind	2	2,216			2	\$2,215.50
MA Rehabilitation Commission	32	43,927			32	\$43,926.50
National Guard	63	79,828	2	(1,714)	65	\$78,113.50
Native American	4	6,856			4	\$6,856.00
Need-based	2,562	2,871,406			2,562	\$2,871,406.20
Police/Firefighters					0	\$0.00
Senior citizens	5	6,050			5	\$6,050.00
Veterans/Vietnam veterans	36	49,859			36	\$49,859.00
Other Statutory					0	\$0.00
Total Statutory	8,383	\$12,271,676.50	2	(\$1,714.00)	8,385	\$12,269,962.50
BHE						
Academic & artistic talent	139	221,896	89	193,096	228	\$414,991.50
Aspiring Teachers					0	\$0.00
Career adv. program					0	\$0.00
CASS					0	\$0.00
Collaborative teachers	35	18,486	0	0	35	\$18,486.00
Co-op Association for Scholarship					0	\$0.00
Courtesy					0	\$0.00
DCE waiver					0	\$0.00
DCF Adopted Children	20	28,281			20	\$28,281.00
DCF Foster Care Child	19	29,995			19	\$29,995.00
Dual enrollment					0	\$0.00
Foreign exchange			153	850,599	153	\$850,598.50
Graduate student	57	98,890	76	430,424	133	\$529,314.00
High Tech. Scholar/Intern					0	\$0.00
Human Service providers					0	\$0.00
MassTransfer(formerly Joint Admissions/Tuition Adv. Prog.)	615	290,587	28	12,762	643	\$303,349.34
Katrina					0	\$0.00
MA Higher Education employee	425	522,731	28	147,498	453	\$670,228.96
McNair					0	\$0.00
NECCUM					0	\$0.00
Other State employees	4	2,837			4	\$2,837.00
Research assistants	251	412,500	882	4,594,653	1,133	\$5,007,153.00
S.Z. Koplík Cert. of Mastery	481	780,752			481	\$780,752.00
SC Scholarship Prog./Tsongas					0	\$0.00
UMass athletic	78	127,195	141	1,249,949	219	\$1,377,143.00
UMass exchange program	83	98,555			83	\$98,555.00
UMass talent					0	\$0.00
University waiver					0	\$0.00
Valedictorian	6	9,427			6	\$9,427.00
Washington Center					0	\$0.00
Other BHE UPLAN	86	61,854			86	\$61,854.37
Other BHE Teaching Assistants	339	552,299	1,073	6,518,042	1,412	\$7,070,340.50
Other BHE					0	\$0.00
BHE Intern	48	82,390	105	514,893	153	\$597,282.50
Other State Employee Dependents					0	\$0.00
Other BHE Higher Ed Spouses & Dependents					0	\$0.00
Commonwealth Sept 11th 2001					0	\$0.00
Inclusive Concurrent Enrollment Prg (HS & College Classes)	9	2,002			9	\$2,002.00
Total BHE	2,695	\$3,340,677.03	2,575	\$14,511,913.64	5,270	\$17,852,590.67
LOCAL						
Vista Waiver	0	0	0	0	0	\$0.00
Other					0	\$0.00
Other					0	\$0.00
Other					0	\$0.00
Total Local	0	\$0.00	0	\$0.00	0	\$0.00
Total All Waivers	11,078	\$15,612,353.53	2,577	\$14,510,199.64	13,655	\$30,122,553.17

Massachusetts Board of Higher Education								
Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015								
University of Massachusetts Boston								
	State Supported		Non-State Supported (Tuition Retention)		Local Support		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
STATUTORY								
Active Duty Armed Forces							-	-
Adams Scholarship		461,546				494,508	605	956,054
Commission for the Blind		4,076				7,284	10	11,360
MA Rehabilitation Commission		74,145				75,115	133	149,260
National Guard		50,439		9,756		62,840	104	123,035
Native American		9,707				7,837	13	17,543
Need-Based		2,439,313		-		2,367,017	3,388	4,806,330
Police/Firefighters							-	-
Senior Citizens		3,003				1,801	8	4,804
Veterans/Vietnam Veterans		202,975				208,691	342	411,666
Other Statutory							-	-
Total Statutory	-	3,245,203	-	9,756	-	3,225,093	4,603	6,480,052
BHE								
Academic & Artistic Talent							-	-
Aspiring Teachers							-	-
Career Adv. Program							-	-
CASS ¹							-	-
Collaborative Teachers		5,931		8,537		1,835	12	16,302
Co-op Association for Scholarship							-	-
Courtesy							-	-
DCE Waiver							-	-
DCF Adopted Children		10,191		71		9,519	17	19,780
DCF Foster Care Child		20,690		144		19,325	33	40,159
Dual Enrollment							-	-
Foreign Exchange							-	-
Graduate Student		484,118		2,394,828		657,693	814	3,536,639
High Tech. Scholar/Intern							-	-
Human Service Providers							-	-
MassTransfer (formerly Joint Admissions/Tuition Adv. Prog.)		46,671		565		50,896	258	98,133
Katrina							-	-
MA Higher Education Employee		18,137				2,683	24	20,819
McNair		14,152		1,286		9,273	19	24,711
NECCUM ²							-	-
Other State Employees		64,187				53,828	125	118,015
Research Assistants							-	-
S.Z. Koplik Cert. of Mastery		5,219				5,066	7	10,284
SC Scholarship Prog./Tsongas							-	-
UMass Athletic							-	-
UMass Exchange Program		5,862		177,235		117,791	57	300,888
UMass Talent							-	-
University Waiver							-	-
Validictorian		215		-		643	1	857
Washington Center							-	-
Other BHE UPLAN		12,426				214	14	12,640
Other BHE Teaching Assistants							-	-
Other BHE							-	-
BHE Intern							-	-
Other State Employee Dependent							-	-
Other BHE Higher Ed Spouses & Dependents		31,262				21,921	39	53,182
Commonwealth Sept. 11th 2001							-	-
Inclusive Concurrent Enrollment Pgrm		1,716				1,287	12	3,003
Total BHE	-	720,775	-	2,582,665	-	951,970	1,432	4,255,411
LOCAL								
UMB Employee		74,109		24,390		52,350	179	150,849
UMB Dependents / Spouse		20,576		7,317		28,591	46	56,483
Chancellor Scholarship		198,918				158,031	222	356,948
On the Job Training		17,514		324		8,160	66	25,998
Foster Furculo Scholarship		16,365				10,061	17	26,425
Total Local	-	327,480	-	32,031	-	257,192	530	616,703
Total All Waivers	-	4,293,458	-	2,624,452	-	4,434,255	6,565	11,352,166

Massachusetts Board of Higher Education						
Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015						
University of Massachusetts Dartmouth						
	State Supported		Non-State Supported (Tuition)		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
STATUTORY						
Active Duty Armed Forces					0	\$0.00
Adams Scholarship	1,153	\$1,557,040.81	9	\$12,044.50	1,162	\$1,569,085.31
Commission for the Blind	1	\$1,417.00			1	\$1,417.00
MA Rehabilitation Commission	42	\$52,399.30	1	\$708.50	43	\$53,107.80
National Guard-Welcome Home	48	\$47,778.16	26	\$152,385.69	74	\$200,163.85
Native American	11	\$12,012.66	3	\$15,069.00	14	\$27,081.66
Need-Based	1,371	\$1,546,867.00			1,371	\$1,546,867.00
Police/Firefighters					0	\$0.00
Senior Citizens	61	\$34,578.25	13	\$36,121.20	74	\$70,699.45
Veterans/Vietnam Veterans	46	\$51,248.02	9	\$59,530.00	55	\$110,778.02
Other Statutory						\$0.00
Total Statutory	2,733	\$3,303,341.20	61	\$275,858.89	2,794	\$3,579,200.09
BHE						
Academic & Artistic Talent	71	\$99,898.50			71	\$99,898.50
Aspiring Teachers					0	\$0.00
Career Adv. Program					0	\$0.00
CASS ¹					0	\$0.00
Collaborative Teachers					0	\$0.00
Co-op Association for Scholarship					0	\$0.00
Courtesy					0	\$0.00
DCE Waiver			93	\$47,196.00	93	\$47,196.00
DCF Adopted Children	15	\$19,719.90	1	\$708.50	16	\$20,428.40
DCF Foster Care Child	32	\$42,746.12	1	\$1,620.50	33	\$44,366.62
Dual Enrollment	49	\$13,697.28			49	\$13,697.28
Foreign Exchange					0	\$0.00
Graduate Student	116	\$125,897.69	174	\$725,150.13	290	\$851,047.82
High Tech. Scholar/Intern					0	\$0.00
Human Service Providers	1	\$1,239.86			1	\$1,239.86
MassTransfer (formerly Joint Admissions/Tuition Adv. Prog.)	91	\$34,251.62	88	\$101,329.61	179	\$135,581.23
Katrina					0	\$0.00
MA Higher Education Employee	58	\$31,417.25	27	\$86,797.80	85	\$118,215.05
McNair					0	\$0.00
NECCUM ²					0	\$0.00
Other State Employees	20	\$20,805.50	45	\$100,529.00	65	\$121,334.50
Research Assistants					0	\$0.00
S.Z. Koplik Cert. of Mastery	24	\$33,299.50			24	\$33,299.50
SC Scholarship Prog./Tsongas					0	\$0.00
UMass Athletic					0	\$0.00
UMass Exchange Program	0	\$0.00	15	\$63,779.70	15	\$63,779.70
UMass Talent					0	\$0.00
University Waiver	1	\$177.12	30	\$30,475.38	31	\$30,652.50
Valedictorian	3	\$3,542.50			3	\$3,542.50
Washington Center	2	\$1,417.00			2	\$1,417.00
Other BHE UPLAN	32	\$23,784.50			32	\$23,784.50
Other BHE Teaching Assistants					0	\$0.00
Other BHE						
Other BHE Intern					0	\$0.00
Other State Employees Dependent						
Other BHE Higher Ed Spouses & Dependents	91	\$119,504.57	23	\$65,549.26	114	\$185,053.83
Commonwealth Sept 11th 2001						
Inclusive Concurrent Enrollment Prg						
Total BHE	606	\$571,398.91	497	\$1,223,135.88	1,103	\$1,794,534.79
LOCAL						
Sachem-Student						\$0.00
Sachem-Faculty			2	\$340.00	2	\$340.00
PCE Institutional			43	\$9,960.80	43	\$9,960.80
DCE Partnership			69	\$34,513.74	69	\$34,513.74
Public Interest Fellowship-Law School	51	\$47,310.25	10	\$63,343.00	61	\$110,653.25
Other					0	\$0.00
Total Local	51	\$47,310.25	124	\$108,157.54	175	\$155,467.79
Total All Waivers	3,390	\$3,922,050.36	682	\$1,607,152.31	4,072	\$5,529,202.67

Massachusetts Board of Higher Education						
Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015						
University of Massachusetts Lowell						
	State Supported (Tuition Remitted to Commonwealth)		Non-State Supported (Tuition Retention)		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
STATUTORY						
Active Duty Armed Forces					0	\$0.00
Adams Scholarship	1,492	2,038,794.58			1,492	\$2,038,794.58
Commission for the Blind					0	\$0.00
MA Rehabilitation Commission	50	54,463.78			50	\$54,463.78
National Guard	85	83,401.50			85	\$83,401.50
Native American	1	727.00			1	\$727.00
Need-Based	2,267	2,027,844.00			2,267	\$2,027,844.00
Police/Firefighters					0	\$0.00
Senior Citizens	1	726.96			1	\$726.96
Veterans/Vietnam Veterans	135	130,921.92			135	\$130,921.92
Other Statutory					0	\$0.00
Total Statutory	4,031	\$4,336,879.74	0	\$0.00	4,031	\$4,336,879.74
BHE						
Academic & Artistic Talent	140	169,429.00			140	\$169,429.00
Aspiring Teachers					0	\$0.00
Career Adv. Program					0	\$0.00
CASS ¹					0	\$0.00
Collaborative Teachers					0	\$0.00
Co-op Association for Scholarship					0	\$0.00
Courtesy					0	\$0.00
DCE Waiver					0	\$0.00
DCF Adopted Children	19	22,112.86			19	\$22,112.86
DCF Foster Care Child	16	18,780.80			16	\$18,780.80
Dual Enrollment					0	\$0.00
Foreign Exchange					0	\$0.00
Graduate Student	129	168,003.00	291	1,565,527	420	\$1,733,530.00
High Tech. Scholar/Intern					0	\$0.00
Human Service Providers	3	3,271.48			3	\$3,271.48
MassTransfer (formerly Joint Admissions/Tuition Adv. Prog.)	234	86,628.10			234	\$86,628.10
Katrina					0	\$0.00
MA Higher Education Employee	106	59,000.00			106	\$59,000.00
McNair					0	\$0.00
NECCUM ²	55	19,506.76	4	\$6,782.00	59	\$26,288.76
Other State Employees	30	\$19,741.00			30	\$19,741.00
Research Assistants					0	\$0.00
S.Z. Koplik Cert. of Mastery	72	97,175.60			72	\$97,175.60
SC Scholarship Prog./Tsongas					0	\$0.00
UMass Athletic	88	120,636.92			88	\$120,636.92
UMass Exchange Program			16	\$64,253.00	16	\$64,253.00
UMass Talent					0	\$0.00
University Waiver					0	\$0.00
Validictorian	4	5,816.00			4	\$5,816.00
Washington Center	7	5,452.50	1	\$1,091.00	8	\$6,543.50
Other BHE UPLAN	59	38,272.82	1	\$225.00	60	\$38,497.82
Other BHE Teaching Assistants					0	\$0.00
Other BHE						
Other BHE Intern					0	\$0.00
Other State Emp Dependents	4	\$3,182.00			4	\$3,182.00
Other BHE Higher Ed Spouses & Dependents	123	\$149,194.00			123	\$149,194.00
Commonwealth Sept 11th 2001						
Inclusive Concurrent Enrollment Prg						
Total BHE	1,089	\$986,202.84	313	\$1,637,878.00	1,402	\$2,624,080.84

Massachusetts Board of Higher Education

Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015

University of Massachusetts Lowell

	State Supported (Tuition Remitted to Commonwealth)		Non-State Supported (Tuition Retention)		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
LOCAL						
Course Vouchers	9	2,455.92	1	\$273.00	10	\$2,728.92
Cross-Campus	3	\$819.00	9	\$12,494.00	12	\$13,313.00
Higher Education					0	\$0.00
Other					0	\$0.00
Total Local	12	\$3,274.92	10	\$12,767.00	22	\$16,041.92
Total All Waivers	5,132	\$5,326,357.50	323	\$1,650,645.00	5,455	\$6,977,002.50

Massachusetts Board of Higher Education						
Tuition Waivers Fiscal Measures Data Collection - Fiscal Year 2015						
University of Massachusetts Medical School						
	State Supported		Non-State Supported		Total	
	# Students	\$ Value	# Students	\$ Value	# Students	\$ Value
STATUTORY						
Active Duty Armed Forces					0	\$0.00
Adams Scholarship					0	\$0.00
Commission for the Blind					0	\$0.00
MA Rehabilitation Commission					0	\$0.00
National Guard					0	\$0.00
Native American					0	\$0.00
Need-Based	115	\$217,368.00	68	\$93,212.00	183	\$310,580.00
Police/Firefighters					0	\$0.00
Senior Citizens					0	\$0.00
Veterans/Vietnam Veterans	3	\$20,880.00	1	\$4,176.00	4	\$25,056.00
Other Statutory					0	\$0.00
Total Statutory	118	\$238,248.00	69	\$97,388.00	187	\$335,636.00
BHE						
Academic & Artistic Talent					0	\$0.00
Aspiring Teachers					0	\$0.00
Career Adv. Program					0	\$0.00
CASS ¹					0	\$0.00
Collaborative Teachers					0	\$0.00
Co-op Association for Scholarship					0	\$0.00
Courtesy					0	\$0.00
DCE Waiver					0	\$0.00
DCF Adopted Children					0	\$0.00
DCF Foster Care Child					0	\$0.00
Dual Enrollment					0	\$0.00
Foreign Exchange					0	\$0.00
Graduate Student					0	\$0.00
High Tech. Scholar/Intern					0	\$0.00
Human Service Providers					0	\$0.00
MassTransfer (formerly Joint Admissions/Tuition Adv. Prog.)					0	\$0.00
Katrina					0	\$0.00
MA Higher Education Employee	11	\$4,290.00			11	\$4,290.00
McNair					0	\$0.00
NECCUM ²					0	\$0.00
Other State Employees					0	\$0.00
Research Assistants	199	\$1,682,299.66	10	\$41,760.00	209	\$1,724,059.66
S.Z. Koplik Cert. of Mastery					0	\$0.00
SC Scholarship Prog./Tsongas					0	\$0.00
UMass Athletic					0	\$0.00
UMass Exchange Program					0	\$0.00
UMass Talent					0	\$0.00
University Waiver					0	\$0.00
Validictorian					0	\$0.00
Washington Center					0	\$0.00
Other BHE UPLAN					0	\$0.00
Other BHE Teaching Assistants					0	\$0.00
Other BHE						
Other BHE Intern					0	\$0.00
Other State Employee Dependent						
Other BHE Higher Ed Spouses & Dependents					0	\$0.00
Commonwealth Sept 11th 2001						
Inclusive Concurrent Enrollment Prg					0	\$0.00
Total BHE	210	\$1,686,589.66	10	\$41,760.00	220	\$1,728,349.66
LOCAL						
Other					0	\$0.00
Other					0	\$0.00
Other					0	\$0.00
Other					0	\$0.00
Other					0	\$0.00
Total Local	0	\$0.00	0	\$0.00	0	\$0.00
Total All Waivers	328	\$1,924,837.66	79	\$139,148.00	407	\$2,063,985.66

Appendix D3: Tuition Waiver Programs and Guidelines

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
National Guard	Non-need award to an active member of the Massachusetts Army or Air National Guard who is in good standing and meets the following criteria;	Statutory - Appropriated		MGL Ch.15a Sec. 19; Budget Line-Item 8700-1150	The value of the award under this program shall be equal to the amount of tuition and mandatory fees, as defined by each institution, charged to the student.	(1) accepted or enrolled full or part-time in a MA public institution of higher education under Section 5 of Chapter 15A of MGL (2) issued a Certificate of Eligibility by the Military Division of the Commonwealth, (3) has not exceeded 130 semester credit hours, (4) maintain satisfactory academic progress as defined by the institution	Possible adjustments to Award Value section to specify UMass charges	
DCF Adopted Children	Awarded to a student enrolled in an eligible institution and program who was adopted by a Massachusetts state employee or a Massachusetts resident. The award is for any state-supported course offered by any public college or university excluding graduate courses and M.D courses at UMMS	Statutory - Appropriated		MGL Ch.15a Sec. 19; Budget Line-Item 7066-0021	Under the law, the award is a waiver of tuition and 100% of fees at the resident rate subject to appropriation. (Commonwealth bears the cost of the program)	Student must meet the following requirements: adopted by a MA state employee or MA resident, legal resident of MA, US citizen, under the age of 25, enrolled in an eligible program of study, filed a FAFSA, complied with Selective Service, not in default on any state or federal student loans, and meet institution's requirements for satisfactory academic progress.	No changes required based on BHE guidance	
DCF Foster Care Child	Awarded to a student enrolled in an eligible institution and program who was placed as a foster child or whose guardianship was sponsored by the Department of Children and Families. The award is for any state-supported course offered by any public college or university excluding graduate courses and M.D courses at UMMS	Statutory - Appropriated		MGL Ch.15a Sec. 19; Budget Line-Item 7066-0021	Under the law, the award is a waiver of tuition and 100% of fees at the resident rate subject to appropriation. (Commonwealth bears the cost of the program)	Student must meet the following requirements: a current or former foster child placed in the custody or whose guardianship was sponsored by the Department of Children and Families through age 18, was in the custody of DCF for at least six months before the age of 18, legal resident of MA, US citizen, under the age of 25, enrolled in an eligible program of study, filed a FAFSA, complied with Selective Service, not in default on any state or federal student loans, and meet institution's requirements for satisfactory academic progress.	No changes required based on BHE guidance	
Active Duty Armed Forces	Categorical award to a student, serving as an active member of the Armed Forces (Army, Navy, Marine Corps, Air Force or Coast Guard) stationed and residing in Massachusetts	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	No Activity for 5 years
Commission for the Blind	Categorical award to a student, as certified as a client by the respective commission, admitted to eligible institution enrolled in an eligible course;	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	
MA Rehabilitation Commission	Categorical award to a student, as certified as a client by the respective commission, admitted to eligible institution enrolled in an eligible course;	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	
Native American	Categorical award to a student, as certified by the Bureau of Indian Affairs, admitted to eligible institution enrolled in an eligible course;	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	
Need-based	Need based award to a student enrolled in an eligible program with a documented financial need measured by federally approved system of needs analysis	Statutory - Named	UMass?	MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period	(1) a permanent legal resident of MA for at least one year prior to the opening of the academic year and be a U.S. citizen or non-citizen eligible under federal regulations, (2) comply with Selective Service Registration, (3) in good standing with any Federal Student Loan program, (4) documented financial need measured by federally approved system of needs analysis, (5) Enrolled for at least 3 undergraduate credits per semester, (6) maintain satisfactory academic progress according to institution, (7) has not previously earned a bachelor's degree, and (8) files current year FAFSA	Student Award Value section updates to section a-d	
Senior citizens	Categorical award to a student over the age of 60, admitted to eligible institution enrolled in an eligible course;	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	
Veterans/Vietnam veterans	Categorical award to a student, designated a veteran for their military service in accordance with M.G.L. Chapter 4, Section 7(43) including Spanish War, World War I, World War II, Korean, Vietnam, Lebanese peace keeping force, Grenada rescue mission, the Panamanian intervention force, or the Persian Gulf, admitted to eligible institution enrolled in an eligible course;	Statutory - Named		MGL Ch.15a Sec. 19	Individual student awards for an award period may not exceed the actual campus tuition charges of the award period		Student Award Value section updates to section a-d	

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
Graduate Student	Awarded to a student enrolled in any graduate or post-baccalaureate program offered by an eligible institution and meets the criteria established by the institution	Statutory - Named		MGL Ch.15a Sec. 19	Determined by individual institutions and reported to BHE	BHE guidance states that it is the responsibility of the institution to establish guidelines and award value for this waiver.	No changes required based on BHE guidance	
Adams Scholarship	Non-need award to undergraduate student admitted to eligible institution and meets the following requirements: who graduated from the a MA public high school, MCAS score in English Language Arts, Mathematics, and Science tests; resident of MA; enrolled in MA public college or university; maintains a 3.0 GPA on academic coursework; maintains Satisfactory Academic Progress	Statutory - BHE		Board of Higher Education Approval	Award shall be equal to the value of required tuition (not including fees) for all state-supported undergraduate courses; may be awarded to eligible students for a maximum of eight semesters of enrollment, which must occur within six years following high school graduation		*except those institutions retaining Massachusetts resident tuition payments* (Pg 1 of guidelines); adjustment to the changes in award value	Largest waiver program by total dollars five year average
UMass Academic & Artistic Talent	Awarded to those enrolled in a degree program at the University of Massachusetts who meets eligibility criteria established by the University	Statutory - BHE	UMass	MGL Ch.15a Sec. 19; BHE Approval	UMass is authorized to award up to \$1,025,000 in these waivers annually		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 13 of guidelines)	
Aspiring Teachers	Awarded to those enrolled in any liberal arts or fine art undergraduate baccalaureate degree program that has been approved by the Department of Education for certification and is in a field with teacher shortages.	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The BHE is authorized to award up to \$500,000 in Aspiring Teacher tuition waivers annually	1)student is in their third or fourth year enrolled in state approved teacher education programs in fields with teacher shortages; 2) has a cumulative 3.0 grade point average in general education courses; 3) commits to teaching for two years in a public school in the Commonwealth upon successful completion of a bachelor's degree from the college or university and the appropriate certification; 4) As determined by the institution; 5) Is a permanent legal resident of MA for at least one year prior to the opening of the academic year; 6) in compliance with selective service registration; 7) not in default for any federal or state student loan programs; 8) files a current year FAFSA	Award Value section to change language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 18 guidelines)	No Activity for 5 years
Career Adv. Program	Awarded to MA public school teachers for one state-supported graduate course tuition waiver for each of the first three years of teaching	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The BHE is authorized to award up to \$800,000 in tuition waivers annually	1) passed all three components of the MA Teachers Test; 2) not in default on any federal or state student loans; 3) must be a public school teacher in the year they are using the award	Award Value section to change language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 18 guidelines)	No Activity for 5 years
Cooperative Association of States for Scholarships	Awarded to a student enrolled in an eligible institution under the auspices of the Cooperative Association of States for Scholarships (CASS) program	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Each eligible institution is authorized to award up to \$250,000 in CASS program tuition waivers annually.	Eligible institutions include Berkshire Community College and other institutions as approved by the BHE.	Award Value section to change language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 17 guidelines)	No Activity for 5 years
Collaborative Teachers	Awarded to MA public school teachers who mentor a student teacher from a state college or university for up to one state-supported graduate-level course for each student teacher mentored with a maximum of one student teacher per semester.	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The BHE is authorized to award up to \$200,000 in awards for state supported graduate courses	Student must not be in default of any federal or state student loan programs; and must be a public school teacher in the year they are using the award	Award Value section to change language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 20 guidelines)	
High Tech. Scholar/Intern	Awarded to a student enrolled in a computer information science/technology or engineering program, as determined annually by the BHE, at an eligible institution	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Individual student awards shall match industry scholarships up to the resident undergraduate tuition at the institution.	Students must meet : enrolled in computer information science/technology or engineering program determined by the BHE, eligible company/corporation, and institution, legal resident of MA and a US citizen, not in default on any state or federal loan program, filed annual FAFSA forms	Award value section to adjust sentence * Individual student awards shall match industry scholarships up to the resident undergraduate tuition at the institution.* Language should reflect it as tuition credit for UMass students	
Human Service Providers	Awarded to "Eligible Human Service Providers" who are enrolled in a state-supported undergraduate course at any community college, state university, or UMass campus, excluding the Medical School	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition at resident rates for eligible state-supported programs	tuition waiver will only be granted for courses related to individual's current position	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Guidance in UMB document but not found in DHE guidance; confirm with DHE that program still active
MassTransfer (formerly the Joint Admissions/Tuition Adv. Prog.)	Awarded to a student enrolled in a state university or UMass who has completed an associate degree at a public community college approved under the MassTransfer program, an Additional Transfer Articulation Agreement, or a Joint Admissions Program	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Eligible students are entitled to a tuition waiver equal to 33% of the resident tuition rate at the participating institution for the two year of matriculation which immediately follow their community college enrollment	Student must maintain satisfactory academic progress according to institutional standards, has not earned a baccalaureate or professional degree, and meets the minimum required 3.0 GPA for continued eligibility	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
Katrina	Awarded to a undergraduate student who is unable to attend an institution due to the effects of Hurricane Katrina but has enrolled in state-supported courses at a public college or university excluding graduate or courses at UMMS.	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition at resident or non-resident rates for eligible state-supported programs at participating institutions (For Fall 2005 semester, potentially expanded by BHE)	Student must provide verification of enrollment to an institution for fall semester 2005 or a signed affidavit attesting admission or enrollment in an institution for the 2005-2006 academic year	Do not view any changes necessary due to the program no longer being active...BHE check-in	No Activity for 5 years
MA Higher Education Employee	Awarded to a full-time professional or classified staff member of a public college or university enrolled in an eligible program. Employee spouses and dependent children (under the age of 25) are also eligible.	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Guidance in UMB document but not found in DHE guidance; confirm with DHE that program still active
Other State Employees	Awarded to eligible state employees and their spouses who enroll in regular state-supported courses or programs at public community college, state universities, and UMass, excluding the Medical School and UMass Law	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Guidance found in HRD memo from Commonwealth's Chief Human Resources Officer; Not found in DHE guidance
Research Assistants	Awarded to an undergraduate or graduate student who is appointed teaching or research assistants or associates, intern, project assistant or assistant resident directors	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Waivers equal up to the value of tuition charges	No determination of financial need	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Guidance on Graduate Assistantships in UMA guidance; Not found in DHE guidance
S.Z. Koplik Cert. of Mastery	Awarded to a Massachusetts high school graduate who earned a Certificate of Mastery from the MA Department of Education	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Individual student awards shall be no more than the resident undergraduate tuition rate at the participating institution.	Student must be a legal resident of Massachusetts and US Citizen, completed a FAFSA, maintain a 3.3 GPA for continued eligibility for eight semesters of waivers, and maintain MA residency during the same eight semesters	Award value section to adjust sentence "Individual student awards shall be no more than the resident undergraduate tuition rate at the participating institution." Language should reflect it as tuition credit for UMass students	
UMass Athletic	Awarded to UMass students enrolled in a degree program who meet academic and athletic eligibility requirements as established by the University of Massachusetts	Statutory - BHE	UMass	MGL Ch. 15a Sec. 19; BHE Approval	Authorized up to \$1,500,000 in athletic program tuition waivers		Change "waiver" language to "tuition credit" for consistency	
UMass Exchange Program	Awarded to UMass students enrolled in a degree program who meet the eligibility criteria as established by the University of Massachusetts	Statutory - BHE	UMass	MGL Ch. 15a Sec. 19; BHE Approval	Authorized to award up to \$650,000 in Exchange Program Tuition Waivers annually		Change "waiver" language to "tuition credit" for consistency	
Validictorian	Awarded to a student designated by their public or private high school as validictorian and meets the eligibility requirements of the public higher education institutions participating in this program	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Determined by individual institutions and reported to BHE	Student must be enrolled in a degree program at a public higher education institution, maintain satisfactory academic progress defined by the institution, be a legal resident of Massachusetts and a US citizen, complied with Selective Service requirements, not in default on any state or federal student loans, and filed a current year FAFSA	No changes required based on BHE guidance	
Washington Center	Awarded to a resident student enrolled in a degree program at UMass or at a State University and accepted into the Washington Center Internship program	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	A waiver of tuition by the BHE, a waiver of regular mandatory fees by the eligible institution, and a housing scholarship provided by the Washington Center. Able to award nine new waivers per academic year	Student must also be enrolled in an eligible degree program as determined by the institution; has obtained a minimum 3.0 GPA, meet other eligibility criteria established by the institution and Washington Center. And has filed the current year FAFSA	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students; additionally clarify the waiver of "regular mandatory fees" under Award Value (Sec 6, Pg12 of guidelines)	
Commonwealth Sept. 11, 2001	Awarded to the spouse or child of a resident of Massachusetts who was the victim of the tragic events that occurred on September 11, 2001, and who died or is missing and officially presumed dead as a direct result of the acts of terrorism that occurred in the US on September 11, 2001	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Student eligible will be entitled to a tuition waiver equal to 100% of the resident tuition rate for eligible state supported courses	Student must submit to their institution: copy of student's legal birth certificate; certificate of death for the spouse, parent or legal guardian (or other official documentation of death); proof that the death is connected to the terrorism that occurred on Sept. 11th, 2001; must have filed a FAFSA	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students (Sec 6, Pg 35 of guidelines)	
Children of Fallen Service Member	Awarded to a student enrolled full or part time in an undergraduate degree program and is a surviving child of a parent who was an active and full-time member of the armed forces of the US or National Guard who died as a result of injuries sustained during active and full-time military service occurring after 1989; or died while deployed in direct support of military activity in a zone of armed conflict or hostility occurring on or after January 1, 1989; and was a resident of MA at the time of entry and remained a MA resident throughout full-time military service.	Statutory - BHE	UMass?	MGL Ch. 15a Sec. 19; BHE Approval	Waivers awarded under this program for an academic term of study must cover the total cost of tuition and fees. The institution must also provide room and board for any eligible student enrolled full-time and living in an on campus residence. (waivers are to be given after all other sources of financial aid, excluding loans, has been considered.)	Student must be a permanent legal resident of MA for at least one year; has not previously received a bachelor's degree; has completed the FAFSA; in not in default on any federal or state educational loans; has presented documentation of eligibility to the institution; in compliance with Selective Service; maintain Satisfactory Academic Progress as determined by the institution	Since this is a full tuition and fee waiver, some language in the Student Award Value might need clarification. Unclear if this program is funded by the Commonwealth or by the institution.	Possibly related to the Public Service Grant Program

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
Other BHE Higher Ed Spouses & Dependents	Awarded to eligible state employees and their spouses who enroll in regular state-supported courses or programs at public community college, state universities, and UMass, excluding the Medical School and UMass Law	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Guidance found in HRD memo from Commonwealth's Chief Human Resources Officer; Not found in DHE guidance
Other BHE UPLAN	Awarded to any student admitted to a participating public institution of higher education that is an owner or a qualifying beneficiary of a MEFA Prepaid Tuition program	Statutory - BHE	UMass?	MEFA Authority: MGL c. 15c; program established pursuant to MGL c.15c S 5(1/2)	The amount of tuition to be paid by MEFA to participating Public institutions of higher education pursuant to the Prepaid Tuition Program		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students; review guidelines for MEFA on this program (sec.6, Pg 8 of guidelines)	
Other BHE	Waiver programs labled as BHE rolledup up and reported by some campuses in a few previous years	Statutory - BHE						This label was used by UMass Boston to report on assorted BHE waiver programs; last reported in this way FY12
Other State Emp Dependents	Awarded to eligible state employees and their spouses who enroll in regular state-supported courses or programs at public community college, state universities, and UMass, excluding the Medical School and UMass Law	Statutory - BHE	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	Reported by UML as separate line from Other State Employee waiver program
Public Interest Fellowship - Law School	Awarded to a student enrolled at the Law School who agrees to practice at least four year of public interest related law following graduation	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	50% waiver of tuition and fees throughout their studies at the UMass School of Law	25 students per year will be competitively selected for this waiver based on undergraduate GPA, LSAT scores, and an application essay.	No changes required based on waiver of tuition and fees at a determined value; campus program with No BHE guidelines	Guidance found in Dartmouth guidelines
Inclusive Concurrent Enrollment Program	Awarded to an eligible public high school student with intellectual disabilities, ages 18-22, to increase their academic and career success by participating in university courses	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	Grant awarded to individual institutions and then disbursed by campuses	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Amherst and UMass Boston participating institutions
Sachem - Faculty (Dartmouth)	A collaborative effort among nine colleges in Southeastern Massachusetts, allowing full-time and professional non-faculty personnel at each SACHEM institution to enroll in a limited number of courses at the other SACHEM institutions. The program is administered by the Office of the University Registrar.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	To qualify, a student must be enrolled as a degree student, on a full-time basis, in good academic standing, at one of the SACHEM institutions; Enrollees are not charged extra tuition and mandatory fees as long the SACHEM course is part of the normal full-time load at home institution; Students must have paid the equivalent of full-time tuition and fees at the home institution to qualify for waived tuition and fees at the host institution; Exchange students may be liable for course specific fees as required by the host institution.	Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth participating institution
PCE Institutional (Dartmouth)	Waiver are offered through different programs administered by the University. The programs include The Stewart and SouthCoast RN Waiver which offers a tuition discount to eligible students & the Clemente Waiver which offers free tuition and fees for Clemente courses.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The award value of the Stewart and South Coast RN waiver is a 10% discount on courses when enrolled in the online RN-BS programs. The award value of the Clemente course waiver is the price of tuition & fees for each Clemente course taken by a student.	Steward and South Coast RN Waiver requires a student to be enrolled in the online RN-BS program and be an employee of Steward Healthcare System or SouthCoast Health. The Clemente waiver requires students apply and register for the courses and offered to those marginalized by economic factors.	Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth participating institution
DCE Partnership (Dartmouth)	Waivers are offered through a collaborative program to encourage teachers from the Southcoast region of Massachusetts to register for courses at UMass Dartmouth	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The award value is 20% of tuition charges		Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth participating institution
Course Vouchers (Lowell)	Awarded to eligible elementary and secondary school cooperating practitioners who supervise College of Education graduate students	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	One course tuition waiver at in-state rates for one academic year		Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Lowell waiver program

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
Cross-Campus (Lowell)	Awarded to eligible students enrolling in courses at other UMass campuses in order to integrate into their program at study	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges or difference between institutions tuition charges based on a per student basis	It applies to (a) degree seeking students in joint UMass degree programs or other programs where a course exchange has been specifically approved; and (b) degree-seeking graduate students in graduate students not formally approved for a course exchange, on a space-available basis and not including continuing education courses	Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Lowell waiver program
Higher Education (Lowell)	Awarded to eligible graduate students enrolled full-time in a graduate program including those serving as a teaching research assistant under the Graduate Employee Organization contract	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Nine credits of tuition waived per semester based on per student basis	Other requirements outlined dependent on specific program or course enrollment	Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Lowell waiver program
Vista Waiver (Amherst)	Awarded to eligible individuals are those assigned to the campus, under an agreement with the Massachusetts Campus Compact (MACC), to work with the campus to support civic engagement and service-learning. Only courses determined by the VISTA supervisor as having a direct bearing on the VISTA volunteer's effectiveness in their job responsibilities may be waived.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The award value is limited to a maximum of one (1) course per semester per VISTA Volunteer working on the campus to support campus civic engagement and service-learning.	Financial need is not a criterion for this type of waiver.	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Amherst
UMB Employee (Boston)	Awarded to eligible UMB employees and their spouses who enroll in regular state-supported courses or programs at UMass, excluding the Medical School and UMass Law	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	UMass Boston waiver
UMB Dependents/Spouse (Boston)	Awarded to eligible UMB employees and their spouses who enroll in regular state-supported courses or programs at UMass, excluding the Medical School and UMass Law	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Waivers equal to 100% of tuition for state-supported courses and programs at eligible institutions and those enrolled in programs or course offered through continuing education receive 50% tuition waiver.	Provide appropriate documentation to verify eligibility and enrollment	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for UMass students	UMass Boston waiver
Chancellor Scholarship (Boston)	Awarded to an eligible new student on a merit basis for a full tuition and fee waiver. This is renewable each year for four years with a min. sustained GPA of 3.2	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The award value is full cost of tuition and fees	A Massachusetts resident; Priority given to early action applicants (submitted by Dec 1); High School GPA of 3.5 or better; SAT (Critical Reading and Math) score of 1200 or more/ACT composite score of 27 or better	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Boston waiver
On the Job Training (Boston)	Awarded to an eligible student determined by the guidelines of the program established on the campus	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Boston Waiver reported in UMass Academic & Artistic Talent program line prior to FY15
Foster Furcolo Scholarship (Boston)	Awards two-year scholarships covering tuition and most mandatory fees to one graduate of each public community college in Massachusetts. Established to honor Foster Furcolo, who served as governor of Massachusetts from 1957 to 1960. He was instrumental in the creation of the system of public community colleges in the Commonwealth	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	The award value covers the cost of tuition and some mandatory fees for two years	A Massachusetts resident; Must be a first time, full-time student enrolling for fall semester immediately following graduation from the community college; Must graduate from your community college in the spring preceding fall entrance at UMass Boston; Earned an associate's degree with a cumulative GPA of at least 3.5; Must exemplify the success of the community college system in accomplishing the educational mission envisioned by Governor Furcolo	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Boston waiver
Sachem - Student (Dartmouth)	A collaborative effort among nine colleges in Southeastern Massachusetts, allowing full-time students at each SACHEM institution to enroll in a limited number of courses at the other SACHEM institutions. The program is administered by the Office of the University Registrar.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	To qualify, a student must be enrolled as a degree student, on a full-time basis, in good academic standing, at one of the SACHEM institutions; Enrollees are not charged extra tuition and mandatory fees as long as the SACHEM course is part of the normal full-time load at home institution; Students must have paid the equivalent of full-time tuition and fees at the home institution to qualify for waived tuition and fees at the host institution; Exchange students may be liable for course specific fees as required by the host institution.	Language throughout guidance and related agreements between institutions must be amended to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth participating institutions

Waiver Name	Description	Waiver Type	UMass Specific	Statutory Citation/Legal	Authorized Maximum Award	Other Award Requirements	Guidance Update Required	Notes
DCE Waiver	Awarded to undergraduate student enrolled in a Continuing Education course and that the student has 12 or more credits in an undergraduate program	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth waiver
Dual Enrollment	Awarded to high school students to take college-level courses free of charge and simultaneously earn credit toward high school completion as well as their future college degrees through a discretionary state grant program	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Students do not pay tuition or fees under this program	Student must be enrolled in a Massachusetts public or non-public school, including home school; be a Massachusetts resident; not yet earned a GED or high school diploma; have a min. High school GPA of 2.5; and meet all other eligibility requirement as established by the college or university	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	
Foreign Exchange	Awarded to eligible graduate level students who are enrolled in a partner institution - with which the university has established a reciprocal international exchange program - and who are selected and approved as formal participants in the exchange program are eligible for these waivers.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Amherst waiver
University Waiver	Awarded to undergraduate and graduate students experiencing demonstrated hardships, system errors, etc. and authorized by University leadership	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis		Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Dartmouth waiver
Other BHE Teaching Assistants	Awarded to graduate students who are appointed as Teaching or Research Assistants or Associates, Interns, Project Assistant or Assistant Resident Directors	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	Financial need is not a criterion for this type of waiver	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	
Other BHE Intern	Awarded to graduate students participating in off-campus internships designed to significantly enhance the academic and professional goals of the student and are defined as training and learning experiences that are substantially relevant to the student's academic goals and directly augment their programmatic studies.	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	employment verification and academic approval procedures are required in order for externship waivers to be granted.	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Amherst
McNair	Awarded to graduate students participating in The Ronald E. McNair Post-Baccalaureate Achievement Program was established in 1986 in memory of Ronald E. McNair, astronaut and member of the challenger space shuttle crew. The program is designed to help students to overcome class, social, academic, and cultural barriers to higher education	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges on a per student basis	Be a low-income and first generation student or from groups underrepresented in graduate education; Major in an area of study that has a potential for doctoral studies in Science, Technology, Engineering, or Mathematics (STEM) – related field; Be in good academic standing; and be a citizen or permanent resident of the United States	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Boston waiver
Northeast Consortium of Colleges and Universities in MA(NECCUM)	Awarded to students participating in the NECCUM cross-campus course enrollment. Students participating enroll with no additional cost besides tuition cost at home institution	BHE - Campus	UMass?	MGL Ch.15a Sec. 19; BHE Approval	Up to the value of tuition charges or difference between institutions tuition charges based on a per student basis	Must be enrolled in an academic program, be a full-time student, and receive appropriate approval from home institution to register in courses at member institutions. Must have 2.0 GPA and meet course prerequisites	Language throughout guidance to adjust for "waiver" becoming "tuition credit" worth a defined percentage or value of tuition charges for participants	UMass Lowell waiver program
SC Scholarship Prog./Tsongas	Awarded to Massachusetts residents who have graduated from high school within three years with a GPA of 3.75 and SAT score of at least 1200 and plan to attend a MA State University are eligible to apply for the Tsongas Scholarship Program.	Not Reported	UMass?	MGL Ch.15a Sec. 19; BHE Approval	A waiver by the BHE and a waiver of mandatory fees by the eligible institution	Students who have pursued other endeavors for a minimum of five years are eligible to apply under the exceptional life experience category and must also file a current year FAFSA	Not applicable to UMass so not changes required	Not Offered by the University
Police/Firefighters Other Statutory		Not Reported						No Activity since 2010
		Not Reported						No Activity for 5 years; not found in DHE guidance
Courtesy		Not Reported						No activity for past 5 years; not found in DHE guidance

AMHERST

Before Tuition Restructure

TUITION	FY2016	% Total
Undergraduate (resident)	1,714	12%
Undergraduate (regional)	3,000	13%
Undergraduate (non-resident)	9,937	33%
Graduate (resident)	2,640	18%
Graduate (regional)	4,620	19%
Graduate (non-resident)	9,937	33%
CURRICULUM FEE		
Undergraduate (resident)	9,970	70%
Undergraduate (regional)	18,080	77%
Undergraduate (non-resident)	18,080	59%
Graduate (resident)	10,142	69%
Graduate (regional)	18,065	73%
Graduate (non-resident)	18,065	60%
OTHER MANDATORY FEES		
Undergraduate (resident)	2,487	18%
Undergraduate (regional)	2,487	11%
Undergraduate (non-resident)	2,487	8%
Graduate (resident)	1,972	13%
Graduate (regional)	1,972	8%
Graduate (non-resident)	1,972	7%
TOTAL		
Undergraduate (resident)	14,171	
Undergraduate (regional)	23,567	
Undergraduate (non-resident)	30,504	
Graduate (resident)	14,754	
Graduate (regional)	24,657	
Graduate (non-resident)	29,974	

After Tuition Restructure

TUITION + CURRICULUM FEE	FY2016	% Total
Undergraduate (resident)	13,790	97%
Undergraduate (regional)	23,186	98%
Undergraduate (non-resident)	30,123	99%
Graduate (resident)	12,782	91%
Graduate (regional)	22,685	95%
Graduate (non-resident)	28,002	96%
OTHER MANDATORY FEES		
Undergraduate (resident)	381	3%
Undergraduate (regional)	381	2%
Undergraduate (non-resident)	381	1%
Graduate (resident)	1,285	9%
Graduate (regional)	1,285	5%
Graduate (non-resident)	1,285	4%
TOTAL		
Undergraduate (resident)	14,171	
Undergraduate (regional)	23,567	
Undergraduate (non-resident)	30,504	
Graduate (resident)	14,067	
Graduate (regional)	23,970	
Graduate (non-resident)	29,287	

BOSTON

Before Tuition Restructure

TUITION	FY2016	% Total
Undergraduate (resident)	1,714	14%
Undergraduate (non-resident)	9,758	33%
Graduate (resident)	2,590	16%
Graduate (non-resident)	9,758	31%
CURRICULUM FEE		
Undergraduate (resident)	10,172	80%
Undergraduate (non-resident)	19,366	65%
Graduate (resident)	12,699	79%
Graduate (non-resident)	20,531	66%
OTHER MANDATORY FEES		
Undergraduate (resident)	796	6%
Undergraduate (non-resident)	796	3%
Graduate (resident)	826	5%
Graduate (non-resident)	826	3%
TOTAL MANDATORY FEES & TUITION		
Undergraduate (resident)	12,682	
Undergraduate (non-resident)	29,920	
Graduate (resident)	16,115	
Graduate (non-resident)	31,115	

After Tuition Restructure

TUITION + CURRICULUM FEE	FY2016	% Total
Undergraduate (resident)	12,360	97%
Undergraduate (non-resident)	29,598	99%
Graduate (resident)	15,763	98%
Graduate (non-resident)	30,763	99%
OTHER MANDATORY FEES		
Undergraduate (resident)	322	3%
Undergraduate (non-resident)	322	1%
Graduate (resident)	352	2%
Graduate (non-resident)	352	1%
TOTAL MANDATORY FEES & TUITION		
Undergraduate (resident)	12,682	
Undergraduate (non-resident)	29,920	
Graduate (resident)	16,115	
Graduate (non-resident)	31,115	

DARTMOUTH

Before Tuition Restructure

TUITION	FY2016	% Total
Undergraduate (resident)	1,417	11%
Undergraduate (non-resident)	8,099	31%
Graduate (resident)	2,071	14%
Graduate (non-resident)	8,099	31%
Law School (resident)	2,071	8%
Law School (non-resident)	8,099	25%
CURRICULUM FEE		
Undergraduate (resident)	10,181	81%
Undergraduate (non-resident)	17,084	65%
Graduate (resident)	11,912	80%
Graduate (non-resident)	17,084	65%
Law School (resident)	21,730	89%
Law School (non-resident)	23,454	73%
OTHER MANDATORY FEES		
Undergraduate (resident)	990	8%
Undergraduate (non-resident)	990	4%
Graduate (resident)	990	7%
Graduate (non-resident)	990	4%
Law School (resident)	740	3%
Law School (non-resident)	740	2%
TOTAL		
Undergraduate (resident)	12,588	
Undergraduate (non-resident)	26,173	
Graduate (resident)	14,973	
Graduate (non-resident)	26,173	
Law School (resident)	24,541	
Law School (non-resident)	32,293	

After Tuition Restructure

TUITION+CURRICULUM FEE	FY2016	% Total
Undergraduate (resident)	12,183	97%
Undergraduate (non-resident)	25,768	98%
Graduate (resident)	14,568	97%
Graduate (non-resident)	25,768	98%
Law School (resident)	24,386	99%
Law School (non-resident)	32,138	100%
OTHER MANDATORY FEES		
Undergraduate (resident)	405	3%
Undergraduate (non-resident)	405	2%
Graduate (resident)	405	3%
Graduate (non-resident)	405	2%
Law School (resident)	155	1%
Law School (non-resident)	155	0%
TOTAL		
Undergraduate (resident)	12,588	
Undergraduate (non-resident)	26,173	
Graduate (resident)	14,973	
Graduate (non-resident)	26,173	
Law School (resident)	24,541	
Law School (non-resident)	32,293	

LOWELL

Before Tuition Restructure			After Tuition Restructure		
TUITION	FY2016	% Total	TUITION + CURRICULUM FEE	FY2016	% Total
Undergraduate (resident)	1,454	11%	Undergraduate (resident)	13,152	97%
Undergraduate (non-resident)	8,567	29%	Undergraduate (non-resident)	28,850	99%
Graduate (resident)	1,637	12%	Graduate (resident)	13,524	97%
Graduate (non-resident)	6,425	26%	Graduate (non-resident)	24,203	98%
CURRICULUM FEE			OTHER MANDATORY FEES		
Undergraduate (resident)	10,798	80%	Undergraduate (resident)	375	3%
Undergraduate (non-resident)	19,383	67%	Undergraduate (non-resident)	375	1%
Graduate (resident)	10,987	80%	Graduate (resident)	375	3%
Graduate (non-resident)	16,878	69%	Graduate (non-resident)	375	2%
OTHER MANDATORY FEES			TOTAL		
Undergraduate (resident)	1,175	9%	Undergraduate (resident)	13,527	
Undergraduate (non-resident)	1,175	4%	Undergraduate (non-resident)	29,225	
Graduate (resident)	1,175	9%	Graduate (resident)	13,899	
Graduate (non-resident)	1,175	5%	Graduate (non-resident)	24,578	
TOTAL			TOTAL		
Undergraduate (resident)	13,427		Undergraduate (resident)	13,527	
Undergraduate (non-resident)	29,125		Undergraduate (non-resident)	29,225	
Graduate (resident)	13,799		Graduate (resident)	13,899	
Graduate (non-resident)	24,478		Graduate (non-resident)	24,578	

WORCESTER

Before Tuition Restructure			After Tuition Restructure		
TUITION	FY2016	% Total	TUITION + CURRICULUM FEE	FY2016	% Total
Medical School (resident)	8,352	33%	Medical School (resident)	23,493	92%
Medical School Class of '19 forward (resident)	8,352	25%	Medical School Class of '19 forward (r	32,000	94%
Medical School (non-resident)	-	0%	Medical School (non-resident)	56,500	97%
Ph.D/MD. (resident)	2,640	10%	Ph.D/MD. (resident)	26,288	97%
Ph.D/MD. Years 1-2 (non-resident)	9,856	21%	Ph.D/MD. Years 1-2 (non-resident)	46,321	98%
Ph.D/MD. Years 3+ (non-resident)	9,856	26%	Ph.D/MD. Years 3+ (non-resident)	36,465	98%
Graduate School of Nursing (resident)	2,640	24%	Graduate School of Nursing (resident)	9,107	83%
Graduate School of Nursing (non-resident)	9,856	54%	Graduate School of Nursing (non-resic	16,323	90%
Grad. School of Biomedical Science (resident)	2,640	38%	Grad. School of Biomedical Science (r	6,074	87%
Grad. School of Biomedical Science(non-resident)	9,856	69%	Grad. School of Biomedical Science(nc	13,290	94%
CURRICULUM FEE					
Medical School (resident)	15,141	59%			
Medical School Class of '19 forward (resident)	23,648	70%			
Medical School (non-resident)	56,500	97%			
Ph.D/MD. (resident)	23,648	87%			
Ph.D/MD. Years 1-2 (non-resident)	36,465	77%			
Ph.D/MD. Years 3+ (non-resident)	26,609	71%			
Graduate School of Nursing (resident)	6,467	59%			
Graduate School of Nursing (non-resident)	6,467	36%			
Grad. School of Biomedical Science (resident)	3,434	49%			
Grad. School of Biomedical Science(non-resident)	3,434	24%			
OTHER MANDATORY FEES			OTHER MANDATORY FEES		
Medical School (resident)	2,017	8%	Medical School (resident)	2,017	8%
Medical School Class of '19 forward (resident)	2,017	6%	Medical School Class of '19 forward (r	2,017	6%
Medical School (non-resident)	2,017	3%	Medical School (non-resident)	2,017	3%
Ph.D/MD. (resident)	892	3%	Ph.D/MD. (resident)	892	3%
Ph.D/MD. Years 1-2 (non-resident)	892	2%	Ph.D/MD. Years 1-2 (non-resident)	892	2%
Ph.D/MD. Years 3+ (non-resident)	892	2%	Ph.D/MD. Years 3+ (non-resident)	892	2%
Graduate School of Nursing (resident)	1,887	17%	Graduate School of Nursing (resident)	1,887	17%
Graduate School of Nursing (non-resident)	1,887	10%	Graduate School of Nursing (non-resic	1,887	10%
Grad. School of Biomedical Science (resident)	892	13%	Grad. School of Biomedical Science (r	892	13%
Grad. School of Biomedical Science(non-resident)	892	6%	Grad. School of Biomedical Science(nc	892	6%
TOTAL			TOTAL		
Medical School (resident)	25,510		Medical School (resident)	25,510	
Medical School Class of '19 forward (resident)	34,017		Medical School Class of '19 forward (r	34,017	
Medical School (non-resident)	58,517		Medical School (non-resident)	58,517	
Ph.D/MD. (resident)	27,180		Ph.D/MD. (resident)	27,180	
Ph.D/MD. Years 1-2 (non-resident)	47,213		Ph.D/MD. Years 1-2 (non-resident)	47,213	
Ph.D/MD. Years 3+ (non-resident)	37,357		Ph.D/MD. Years 3+ (non-resident)	37,357	
Graduate School of Nursing (resident)	10,994		Graduate School of Nursing (resident)	10,994	
Graduate School of Nursing (non-resident)	18,210		Graduate School of Nursing (non-resic	18,210	
Grad. School of Biomedical Science (resident)	6,966		Grad. School of Biomedical Science (r	6,966	
Grad. School of Biomedical Science(non-resident)	14,182		Grad. School of Biomedical Science(nc	14,182	

Before Tuition Restructure		FY2016	% of Total
TUITION			
Undergraduate (resident)		\$1,714	12%
Undergraduate (regional)		\$3,000	13%
Undergraduate (non-resident)		\$9,937	33%
Graduate (resident)		\$2,640	18%
Graduate (regional)		\$4,620	19%
Graduate (non-resident)		\$9,937	33%
CURRICULUM FEE			
Undergraduate (resident)		\$9,970	70%
Undergraduate (regional)		\$18,080	77%
Undergraduate (non-resident)		\$18,080	59%
Graduate (resident)		\$10,142	69%
Graduate (regional)		\$18,065	73%
Graduate (non-resident)		\$18,065	60%
SUBTOTAL TUITION AND CURRICULUM FEE			
Undergraduate (resident)		\$11,684	
Undergraduate (regional)		\$21,080	
Undergraduate (non-resident)		\$28,017	
Graduate (resident)		\$12,782	
Graduate (regional)		\$22,685	
Graduate (non-resident)		\$28,002	
OTHER MANDATORY FEES			
Undergraduate Service Fee		\$1,419	
IT Student Technology Fee		\$250	
Graduate Service Fee		\$1,165	
Student Activities		\$131	
Student Health		\$687	
Graduate Student Senate		\$120	
SUBTOTAL OTHER MANDATORY FEES			
Undergraduate (resident)		\$2,487	18%
Undergraduate (regional)		\$2,487	11%
Undergraduate (non-resident)		\$2,487	8%
Graduate (resident)		\$1,972	13%
Graduate (regional)		\$1,972	8%
Graduate (non-resident)		\$1,972	7%
TOTAL MANDATORY FEES & TUITION			
Undergraduate (resident)		\$14,171	
Undergraduate (regional)		\$23,567	
Undergraduate (non-resident)		\$30,504	
Graduate (resident)		\$14,754	
Graduate (regional)		\$24,657	
Graduate (non-resident)		\$29,974	

After Tuition Restructure			% of Total
TUITION + CURRICULUM FEES			
Undergraduate (resident)		\$13,790	97%
Undergraduate (regional)		\$23,186	98%
Undergraduate (non-resident)		\$30,123	99%
Graduate (resident)		\$12,782	91%
Graduate (regional)		\$22,685	95%
Graduate (non-resident)		\$28,002	96%
OTHER MANDATORY FEES			
Technology Fee		\$250	
Graduate Service Fee		\$1,165	
Student Activities		\$131	
Graduate Student Senate		\$120	
SUBTOTAL OTHER MANDATORY FEES			
Undergraduate (resident)		\$381	3%
Undergraduate (regional)		\$381	2%
Undergraduate (non-resident)		\$381	1%
Graduate (resident)		\$1,285	9%
Graduate (regional)		\$1,285	5%
Graduate (non-resident)		\$1,285	4%
TOTAL MANDATORY FEES & TUITION			
Undergraduate (resident)		\$14,171	
Undergraduate (regional)		\$23,567	
Undergraduate (non-resident)		\$30,504	
Graduate (resident)		\$14,067	
Graduate (regional)		\$23,970	
Graduate (non-resident)		\$29,287	

University of Massachusetts
BOSTON Campus

Before Tuition Restructure		FY2016	% of Total
TUITION			
Undergraduate (resident)		\$1,714	14%
Undergraduate (non-resident)		\$9,758	33%
Graduate (resident)		\$2,590	16%
Graduate (non-resident)		\$9,758	31%
CURRICULUM SUPPORT FEE			
Undergraduate (resident)		\$10,172	80%
Undergraduate (non-resident)		\$19,366	65%
Graduate (resident)		\$12,699	79%
Graduate (non-resident)		\$20,531	66%
SUBTOTAL TUITION AND CURRICULUM FEE			
Undergraduate (resident)		\$11,886	
Undergraduate (non-resident)		\$29,124	
Graduate (resident)		\$15,289	
Graduate (non-resident)		\$30,289	
OTHER MANDATORY FEES			
Athletics		\$177	
Campus Center		\$130	
Health Services		\$167	
Student Activities (Undergraduate)		\$72	
Student Activities (Graduate)		\$102	
Technology		\$250	
SUBTOTAL OTHER MANDATORY FEES			
			% of Total
Undergraduate (resident)		\$796	6%
Undergraduate (non-resident)		\$796	3%
Graduate (resident)		\$826	5%
Graduate (non-resident)		\$826	3%
TOTAL MANDATORY FEES & TUITION			
Undergraduate (resident)		\$12,682	
Undergraduate (non-resident)		\$29,920	
Graduate (resident)		\$16,115	
Graduate (non-resident)		\$31,115	

After Tuition Restructure			% of Total
TUITION + CURRICULUM FEES			
Undergraduate (resident)		\$12,360	97%
Undergraduate (non-resident)		\$29,598	99%
Graduate (resident)		\$15,763	98%
Graduate (non-resident)		\$30,763	99%
OTHER MANDATORY FEES			
Student Activities (Undergraduate)		\$72	
Student Activities (Graduate)		\$102	
Technology Fee		\$250	
SUBTOTAL OTHER MANDATORY FEES			
			% of Total
Undergraduate (resident)		\$322	3%
Undergraduate (non-resident)		\$322	1%
Graduate (resident)		\$352	2%
Graduate (non-resident)		\$352	1%
TOTAL MANDATORY FEES & TUITION			
Undergraduate (resident)		\$12,682	
Undergraduate (non-resident)		\$29,920	
Graduate (resident)		\$16,115	
Graduate (non-resident)		\$31,115	

University of Massachusetts
DARTMOUTH Campus

Before Tuition Restructure		
TUITION	FY2016	% of Total
Undergraduate (resident)	\$1,417	11%
Undergraduate (non-resident)	\$8,099	31%
Graduate (resident)	\$2,071	14%
Graduate (non-resident)	\$8,099	31%
Graduate Law School (resident)	\$2,071	8%
Graduate Law School (non-resident)	\$8,099	25%
CURRICULUM SUPPORT FEE		
Undergraduate (resident)	\$10,181	81%
Undergraduate (non-resident)	\$17,084	65%
Graduate (resident)	\$11,912	80%
Graduate (non-resident)	\$17,084	65%
Graduate Law School (resident)	\$21,730	89%
Graduate Law School (non-resident)	\$23,454	73%
SUBTOTAL TUITION AND CURRICULUM FEE		
Undergraduate (resident)	\$11,598	
Undergraduate (non-resident)	\$25,183	
Graduate (resident)	\$13,983	
Graduate (non-resident)	\$25,183	
Graduate Law School (resident)	\$23,801	
Graduate Law School (non-resident)	\$31,553	
OTHER MANDATORY FEES		
Athletic Fee	\$275	
Student Fee	\$155	
Health fee	\$150	
Campus Center	\$160	
Technology Fee	\$250	
SUBTOTAL OTHER MANDATORY FEES		
		% of Total
Undergraduate (resident)	\$990	8%
Undergraduate (non-resident)	\$990	4%
Graduate (resident)	\$990	7%
Graduate (non-resident)	\$990	4%
Graduate Law School (resident)	\$740	3%
Graduate Law School (non-resident)	\$740	2%
TOTAL MANDATORY FEES AND TUITION		
Undergraduate (resident)	\$12,588	
Undergraduate (non-resident)	\$26,173	
Graduate (resident)	\$14,973	
Graduate (non-resident)	\$26,173	
Graduate Law School (resident)	\$24,541	
Graduate Law School (non-resident)	\$32,293	

After Tuition Restructure		
TUITION + CURRICULUM FEES		% of Total
Undergraduate (resident)	\$12,183	97%
Undergraduate (non-resident)	\$25,768	98%
Graduate (resident)	\$14,568	97%
Graduate (non-resident)	\$25,768	98%
Graduate Law School (resident)	\$24,386	99%
Graduate Law School (non-resident)	\$32,138	100%
OTHER MANDATORY FEES		
Student Fee	\$155	
Technology Fee	\$250	
SUBTOTAL OTHER MANDATORY FEES		
		% of Total
Undergraduate (resident)	\$405	3%
Undergraduate (non-resident)	\$405	2%
Graduate (resident)	\$405	3%
Graduate (non-resident)	\$405	2%
Graduate Law School (resident)	\$155	1%
Graduate Law School (non-resident)	\$155	0%
TOTAL MANDATORY FEES AND TUITION		
Undergraduate (resident)	\$12,588	
Undergraduate (non-resident)	\$26,173	
Graduate (resident)	\$14,973	
Graduate (non-resident)	\$26,173	
Graduate Law School (resident)	\$24,541	
Graduate Law School (non-resident)	\$32,293	

Before Tuition Restructure		
TUITION	FY2016	% of Total
Undergraduate (resident)	\$1,454	11%
Undergraduate (non-resident)	\$8,567	29%
Graduate (resident)	\$1,637	12%
Graduate (non-resident)	\$6,425	26%
CURRICULUM SUPPORT FEE		
Undergraduate (resident)	\$10,798	80%
Undergraduate (non-resident)	\$19,383	67%
Graduate (resident)	\$10,987	80%
Graduate (non-resident)	\$16,878	69%
SUBTOTAL TUITION AND CURRICULUM FEE		
Undergraduate (resident)	\$12,252	
Undergraduate (non-resident)	\$27,950	
Graduate (resident)	\$12,624	
Graduate (non-resident)	\$23,303	
OTHER MANDATORY FEES		
Campus Technology Fee (Undergraduate and Graduate)	\$250	
Student Activity Fee (Undergraduate& Graduate)	\$925	
SUBTOTAL OTHER MANDATORY FEES		% of Total
Undergraduate (resident)	\$1,175	9%
Undergraduate (non-resident)	\$1,175	4%
Graduate (resident)	\$1,175	9%
Graduate (non-resident)	\$1,175	5%
TOTAL MANDATORY FEES & TUITION		
Undergraduate (resident)	\$13,427	
Undergraduate (non-resident)	\$29,125	
Graduate (resident)	\$13,799	
Graduate (non-resident)	\$24,478	

After Tuition Restructure		
TUITION + CURRICULUM FEES		% of Total
Undergraduate (resident)	\$13,152	97%
Undergraduate (non-resident)	\$28,850	99%
Graduate (resident)	\$13,524	97%
Graduate (non-resident)	\$24,203	98%
OTHER MANDATORY FEES		
Technology Fee (Undergraduate and Graduate)	\$250	
Student Activity Fee (Undergraduate& Graduate)	\$125	
SUBTOTAL OTHER MANDATORY FEES		% of Total
Undergraduate (resident)	\$375	3%
Undergraduate (non-resident)	\$375	1%
Graduate (resident)	\$375	3%
Graduate (non-resident)	\$375	2%
TOTAL MANDATORY FEES & TUITION		
Undergraduate (resident)	\$13,527	
Undergraduate (non-resident)	\$29,225	
Graduate (resident)	\$13,899	
Graduate (non-resident)	\$24,578	

Before Tuition Restructure		
TUITION	FY2016	% of Total
Medical School (resident)	\$8,352	33%
Medical School Class of '19 forward (resident)	\$8,352	25%
Medical School (non-resident)	-	0%
Ph.D./MD. (resident)	\$2,640	10%
Ph.D./MD. Years 1-2 (non-resident)	\$9,856	21%
Ph.D./MD. Years 3+ (non-resident)	\$9,856	26%
Graduate School of Nursing (resident)	\$2,640	24%
Graduate School of Nursing (non-resident)	\$9,856	54%
Grad. School of Biomedical Science (resident)	\$2,640	38%
Grad. School of Biomedical Science(non-resident)	\$9,856	69%
CURRICULUM FEE		
Medical School - class of '18 and prior	\$15,141	59%
Medical School - FY '16 incoming class (class of '19)	\$23,648	70%
Medical School (non-resident)	\$56,500	97%
Ph.D./MD (resident)	\$23,648	87%
Ph.D./MD Years 1-2 (non-resident)	\$36,465	77%
Ph.D./MD Years 3+ (non-resident)	\$26,609	71%
Graduate School of Nursing (resident)	\$6,467	59%
Graduate School of Nursing (non-resident)	\$6,467	36%
Grad. School of Biomedical Science (resident)	\$3,434	49%
Grad. School of Biomedical Science(non-resident)	\$3,434	24%
SUBTOTAL TUITION AND CURRICULUM FEE		
Medical School (resident)	\$23,493	
Medical School Class of '19 forward (resident)	\$32,000	
Medical School (non-resident)	\$56,500	
Ph.D./MD. (resident)	\$26,288	
Ph.D./MD. Years 1-2 (non-resident)	\$46,321	
Ph.D./MD. Years 3+ (non-resident)	\$36,465	
Graduate School of Nursing (resident)	\$9,107	
Graduate School of Nursing (non-resident)	\$16,323	
Grad. School of Biomedical Science (resident)	\$6,074	
Grad. School of Biomedical Science(non-resident)	\$13,290	
OTHER MANDATORY FEES		
Equipment Fee (Medical & Nursing Schools)	\$325	
Assessment Fee (Medical School)	\$600	
Simulation Fee (CELS) (Medical School)	\$200	
Health / Counseling Fee (All Schools)	\$697	
Student Services Fee (All Schools)	\$195	
Health Assessment Lab Fee (Nursing School)	\$495	
Standardized Patient Lab Fee (Nursing School)	\$175	
SUBTOTAL OTHER MANDATORY FEES		
Medical School (resident)	\$2,017	8%
Medical School Class of '19 forward(resident)	\$2,017	6%
Medical School (non-resident)	\$2,017	3%
Ph.D./MD. (resident)	\$892	3%
Ph.D./MD. Years 1-2 (non-resident)	\$892	2%
Ph.D./MD. Years 3+ (non-resident)	\$892	2%
Graduate School of Nursing (resident)	\$1,887	17%
Graduate School of Nursing (non-resident)	\$1,887	10%
Grad. School of Biomedical Science (resident)	\$892	13%
Grad. School of Biomedical Science(non-resident)	\$892	6%
TOTAL MANDATORY FEES & TUITION		
Medical School (resident)	\$25,510	
Medical School Class of '19 forward(resident)	\$34,017	
Medical School (non-resident)	\$58,517	
Ph.D./MD. (resident)	\$27,180	
Ph.D./MD. Years 1-2 (non-resident)	\$47,213	
Ph.D./MD. Years 3+ (non-resident)	\$37,357	
Graduate School of Nursing (resident)	\$10,994	
Graduate School of Nursing (non-resident)	\$18,210	
Grad. School of Biomedical Science (resident)	\$6,966	
Grad. School of Biomedical Science(non-resident)	\$14,182	

After Tuition Restructure		
TUITION	FY2016	% of Total
Medical School (resident)	\$23,493	92%
Medical School Class of '19 forward (resident)	\$32,000	94%
Medical School (non-resident)	56,500	97%
Ph.D./MD. (resident)	\$26,288	97%
Ph.D./MD. Years 1-2 (non-resident)	\$46,321	98%
Ph.D./MD. Years 3+ (non-resident)	\$36,465	98%
Graduate School of Nursing (resident)	\$9,107	83%
Graduate School of Nursing (non-resident)	\$16,323	90%
Grad. School of Biomedical Science (resident)	\$6,074	87%
Grad. School of Biomedical Science(non-resident)	\$13,290	94%
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July 16, 2015

James A. Peyser, Secretary of Education
Executive Office of Education
One Ashburton Place – Room 1403
Boston, MA 02108

Dear Secretary Peyser,

First, I want to reiterate what an historical achievement it would be for UMass to have full tuition retention – making it more accountable and transparent to its students and their families. With the signing of tuition retention into law, UMass would join the rest of the public universities in the United States which are allowed to retain all of their tuition, and as a result will be able to present student charges in a way that alleviates the confusion that currently exists between tuition and mandatory fees.

To help implement the new tuition retention law, UMass will establish an Advisory Task Force on Tuition Retention. The task force shall be chaired by the President of the University of Massachusetts or his designee, and shall include a Chancellor from one of the five UMass campuses or his designee, the Senate President or his designee, the Speaker of the House or his designee, the Secretary of Education or his designee, the Secretary for Administration and Finance or her designee, the Commissioner of the Department of Higher Education or his designee and the Chairs of the UMass Board Subcommittees for Administration and Finance, Academic and Student Affairs and Audit. The task force will report its findings on or before November 1, 2015, to the House and Senate Committees on Ways and Means and the Secretary for Administration and Finance, the Commissioner of Higher Education, the State Comptroller and other key members of your Administration. The review will be consistent with the reporting language already contained in section 195 of the Fiscal Year (FY) 2016 General Appropriations Act and will focus on the following matters related to the implementation of tuition retention:

- a) Calculations and recommendations relative to the value of all tuition waivers authorized under section 19 of chapter 15A of the General Laws, or any other general or special law; all collectively bargained reductions in place as of July 1, 2016; and recommendations for procedures and approval mechanisms for changes in the value of student tuition credits.
- b) Specific budgetary and financial information to be reported to the Secretary for Administration and Finance, the Chairs of the House and Senate Committees on Ways and Means, the Co-Chairs of the Joint Committee on Higher Education and the State Comptroller. Such recommendations shall include, but not be limited to:

- I. a list of required reporting and auditing of revenue and expenditures supporting the operation of the University, including all auxiliary functions and quasi-public entities that provide service or support to the University or its campuses in fulfillment of the requirements of section 10 of chapter 75 of the General Laws; this list shall include a breakdown of information that is required to be reported at the campus level, the system level, or at both levels; and
 - II. a list of all enrollment, budget and financial data, including data relating to capital projects and financing to be collected in support of the 5-year master plan required under the provisions of section 1A(1) of chapter 75 of the General Laws and the annual reporting required to monitor progress in meeting the goals of and fidelity to such plans, as required pursuant to section 1A(m) of said chapter 75.
- c) The feasibility and relative benefits of including the total cost of fringe benefits for employees of the University paid with state appropriations in the base appropriation of the University beginning on July 1, 2016, and shifting the associated obligation of paying the University's actual fringe benefit costs for all employees of the University to the University beginning on July 1, 2016.
- d) Any reduction or increase to the University's base appropriation in FY17, reflecting the projected reduction in appropriation equal to the amount of in-state tuition retained by the University as a direct result of the implementation of tuition retention; the projected increase necessary to pay fringe benefits of employees paid with in-state tuitions dollars retained as a direct result of tuition retention; and the amount necessary to pay fringe benefits of employees paid from out-of-state tuitions dollars retained by the University.
- e) The University's Advisory Task Force on Tuition Retention will recommend to the University's Board a process that will inform the University's full Board of Trustees of the rationale for its tuition and fee recommendation for the FY17 budget. The process will:
- I. assess the impact of tuition and fee rates on student access and affordability, program and institutional quality, and the effect on national and international rankings;
 - II. consider the University's efforts on controlling costs through its efficiency and effectiveness efforts without impacting quality metrics;
 - III. benchmark the University's proposed mandatory tuition and fee charges and operating costs against peer institutions and consider the impact of proposed fee rates against other relevant economic indicators;
 - IV. take into consideration whether the Commonwealth has appropriately funded the University in areas including, but not limited to: the State appropriation, Commonwealth Capital Funding Allocation, Deferred Maintenance Funding on Commonwealth Buildings and the Statutory Funding of Collective Bargaining Contracts under chapter 150E of the General Laws.

James A. Peyser, Secretary of Education
July 16, 2015
Page 3

- V. The University will present to the Board information consistent with this process prior to taking any vote on tuition and mandatory fees.

UMass is committed to working collaboratively and openly with your Administration and legislative leadership to address these issues in a way that best serves our students and their families. I look forward to our continued dialogue on these matters. Please do not hesitate to contact me should you have any questions.

Sincerely,



Martin T. Meehan
President

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•MEDICAL
SCHOOL•UMASSONLINE**

PRESIDENT’S ADVISORY TASK FORCE ON TUITION RETENTION

**Thursday, September 24, 2015
2:00 p.m. – 3:30 p.m.**

**Boston Room
225 Franklin Street – 33rd Floor
Boston, Massachusetts**

AGENDA

- | | |
|---|--------------------|
| A. Introductions | 2:00 – 2:20 |
| B. Review of the Tuition Retention Legislation | 2:20 – 2:40 |
| C. Review the Deliverables of the Task Force | 2:40 – 3:00 |
| D. Discussion & Next Steps | 3:00 – 3:20 |
| E. Timeline and Meeting Schedule | 3:20 – 3:30 |

Tentative Next Meeting: Thursday, October 15th, 2015 at 2:00pm

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•MEDICAL
SCHOOL•UMASSONLINE**

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Tab	Report	Frequency	Primary Report Audience:	Required by (site of statute or Board Policy)	UMass Department Lead	Reported To: Executive Branch Agencies	Reported To: Legislature	Reported To: UMass Specific	Reported To: "Other" Entities (specify)	Link to Latest Report
A	Academic Quality Assurance and Development (AQAD)	annual	BOT		Academic Affairs			BOT		
B	Periodic Multi-year Review	annual	BOT		Academic Affairs			BOT		
C	Fall Course File	annual	BOT		Academic Affairs	DHE		BOT		https://www.umassp.edu/aasapublications
D	Fall Student File	annual	BOT		Academic Affairs	DHE		BOT		https://www.umassp.edu/aasapublications
E	Fall Enrollment File	annual	BOT		Academic Affairs	DHE		BOT		https://www.umassp.edu/aasapublications
F	Financial Indicators Peer System Ratio Analysis	annual	BOT	N/A	Budget			BOT		Not on website
G	Financial Aid Report	annual	BOT	N/A	Budget			BOT		https://www.umassp.edu/budget-office/annual-budget
H	Sustainability Report	annual	BOT	N/A	Budget			BOT		https://www.umassp.edu/budget-office/sustainability
I	Housing Report	annual	BOT	N/A	Budget			BOT		Not on website
J	Quarterly Capital Report	quarterly	BOT	Board Policy T93-122	Budget			BOT		Not on website
K	Tuition & Fee Schedules	annual	BOT	Board Policy T92-031	Budget			BOT		Campus Bursar's Websites
L	5-Year Financial Projection	annual	BOT	N/A	Budget			BOT		Not on website
M	Annual Procurement Spending	annual	BOT	Board Policy T92-031	Budget			BOT		Not on website
N	EEO Affirmative Action Reporting	annual	BOT	T94-054	Human Resources			BOT	Federal	Not on website
O	Annual Indicators Report	annual	BOT	Board Policy T97-111	Institutional Research	ANF DHE	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/aasapublications
P	IPEDS - Amherst	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b1ad4&action=download
Q	IPEDS - Boston	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b1aeb3&action=download
R	IPEDS - Dartmouth	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b24b3b2&action=download
S	IPEDS - Lowell	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b10acae&action=download
T	IPEDS - Medical	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b12abb3&action=download
U	IPEDS - Central	annual	BOT		Institutional Research			BOT	Federal	https://nces.ed.gov/ipeds/datacenter/InstitutionProfile.aspx?unitid=acb1b1b1b0&action=download
V										
W	Student Profile	annual	BOT	Board Policy T97-111	Institutional Research			BOT		https://www.umassp.edu/aasapublications
X	Degrees Conferred Data File	annual	BOT		Institutional Research	DHE		BOT		
Y	UMASS Facts	annual	BOT		Institutional Research			BOT		https://www.umassp.edu/aasapublications
Z	Federal Audits	On-going	Federal		Audit	Auditor		BOT	Federal	
AA	A-133 Report on Federal Financial Assistance	annual	Federal	OMB - A-133	Controller	Comptroller		BOT	Federal	https://www.umassp.edu/controller/reports
BB	Clery Act Reporting	annual	Federal	Federal Title 20, Section 28	Emergency Mgmt			BOT	Federal	https://www.umassp.edu/emergency-management-continuity/annual-reports
CC	NSF Research & Development Expenditures at Universities & Colleges	annual	Federal		Institutional Research			BOT	Federal	https://www.umassp.edu/aasapublications
DD	Dept. of Education eZ-Audit	annual & as required	Federal					BOT	Federal	
EE	Export Control Compliance - CRD Registration	annual	Federal		Academic Affairs				Federal	https://www.umassp.edu/aasapublications
FF	Department of Defense - Certificates Pertaining to Foreign Interests	annual	Federal						Federal	https://www.umassp.edu/aasapublications

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GG	SEVIS Report	Each Term/As required	Federal						Federal	
HH	Internal Audits	On-going	Internal		Audit	Auditor		BOT		Not on website
II	University Disclosure Document (Appendix A)	annual	Rating Agencies	Bond Documents	Budget				Rating Agencies	Not on website
JJ	Quarterly Liquidity Disclosure	quarterly	Rating Agencies	Bond Documents	Budget				Municipal Securities Rulemaking Board	http://emma.msrb.org/ER916383-ER715908-ER1117347.pdf
KK	State Auditor Activity	On-going	State		Audit	Auditor		BOT		
LL	State Budget Request (Formula)	annual	State	MGL C.15A, S.15B	Budget	ANF EOE DHE	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/budget-office/annual-budget
MM	State Budget Request (Official)	annual	State	MGL C.15A, S.15B	Budget	ANF EOE DHE	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/budget-office/annual-budget
NN	FY State Spending Plan Update	annual	State		Budget	ANF				Not on website
OO	EOHHS Financial Aid Claim to TANF	twice annual	State	N/A	Budget	EOHHS				Not on website
PP	BHE Waiver Report	annual	State	N/A	Budget	DHE				DHE database
QQ	BHE Tuition & Fee Report	annual	State	N/A	Budget	DHE				http://www.mass.edu/datacenter/tuition/AppendixTuitionFeesWeight7.asp
RR	General Purpose Financial Statements/External Audit	annual	State	State Comptroller, Bond Documents	Controller	Comptroller	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/controller/reports
SS	MMARS - Revenue & Expenditure report for all non-appropriated funds	monthly	State	N/A	Controller	Comptroller				
TT	MMARS -- Biweekly payroll for all state and non-state funded employees	biweekly	State	N/A	Controller	Comptroller				
UU	Preliminary Admissions and Enrollment Data	annual	State		Institutional Research	DHE		BOT		
VV	Annual HEFA Assurance Disclosure	annual	State			ANF				Not on website
WW	Operating Budget	annual	Strategic Planning	Board Policy T92-031	Budget	ANF EOE	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/budget-office/annual-budget
XX	Capital Plan	annual	Strategic Planning	Board Policy T93-122	Budget	ANF DCAMM	W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/budget-office/capital-planning
YY	Debt Affordability Analysis	annual/as required	Strategic Planning	Board Policy T09-050	Budget	ANF				Included in Financial Projection and Capital Plan
ZZ	UMass Performance (President's Report Card)	annual	Strategic Planning	N/A	Budget		W&M Chairs HE Comm Chairs Speaker Senate President	BOT		http://www.massachusetts.edu/umass-performance
AAA	UMass Planning	annual	Strategic Planning	N/A	Budget			BOT		http://www.massachusetts.edu/umassplanning
BBB	Efficiency & Effectiveness Report	annual	Strategic Planning	N/A	Budget		W&M Chairs HE Comm Chairs Speaker Senate President	BOT		https://www.umassp.edu/efficiency-effectiveness
CCC	UMA - Strategic Plan		Strategic Planning		Campus			President / BOT		https://www.umass.edu/chancellor/sites/default/files/strategic-planning/JTFSO-Phase-I-Report.pdf
DDD	UMB - Strategic Plan		Strategic Planning		Campus			President / BOT		https://www.umb.edu/the_university/strategicplan/fulfilling_the_promise
EEE	UMD - Strategic Plan		Strategic Planning		Campus			President / BOT		
FFF	UML - Strategic Plan		Strategic Planning		Campus			President / BOT		http://www.uml.edu/2020/
GGG	UMMS - Strategic Plan				Campus			President / BOT		http://www.umassmed.edu/fmch/strategicplan/
HHH	Office of State Financial Assistance Audit	annual				DHE				
III	Student Financial Aid File	annual								
JJJ	Chapter 647 Massachusetts Internal Control Law Reporting	monthly & as required				Auditor		BOT		